

# Interstratal differences and the \*ABA-restriction: An argument for Harmonic Layer Theory

Eva Zimmermann, Leipzig University

(joint work with Sören E. Tebay and Jochen Trommer)

18. Mai 2026

Linguistik an der Philologischen Fakultät, Universität Leipzig

## Interstratal differences: Guébie

[+ATR] – [-ATR]

- (1) a.  $s\underline{i}^2 - \underline{a}^2$   
be.tired.IPFV-CAUS  
'causes to be tired'
- b.  $w\underline{i}^3 - \underline{\text{ə}}^2$   
cry.IPFV-CAUS  
'cause to cry' (28)
- c.  $j\underline{u}^4 \text{ gb} \underline{a} \underline{a}^{3.4} s\underline{i}^3$   
boy climb.PFV trees  
'a boy climbed trees'

(Sande, 2017, 28,43)

✿ ATR-vowel harmony only applies within words but not across words

- (2) Interstratal differences in Guébie: Vowel harmony

Stem ①	Word ②	Phrase ③
VHarm	VHarm	-

## Interstratal differences: Nuuchahnulth

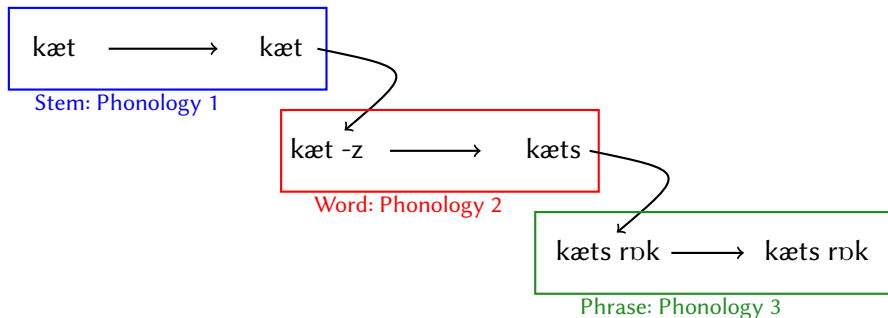
- (3) a.  $\text{ħasaqsuʔ}$   
/ħas-ʔaqsuʔ/  
loud-at.the.mouth  
'loud voice'
- b.  $\text{ʔapʔaqsuʔ}$   
/ʔap-ʔaqsuʔ/  
LOC-at.the.mouth  
'mouth'
- c.  $\text{ciŋasʔa:qtʔqa}$   
/ciŋas-ʔa:qtʔ-qaʔ/  
WOO-INTENT-3.SUB  
'he was going to talk marriage'
- (Stonham, 2007, 110+111)

✿ only stem-level affixes are undergoers of post-fricative /ʔ/-deletion

- (4) Interstratal differences in Nuuchahnulth: Post-fricative /ʔ/

Stem ①	Word ②	Phrase ③
Del	-	-

## Interstratal differences: Co-phonologies as a solution



- ✿ the output of a lower stratum feeds into the input of the next stratum
- ✿ every stratum is potentially associated with a **different phonology** (cf. Lexical Phonology → Stratal OT (Kiparsky, 1982, 1985; Kaisse and Shaw, 1985; Mohanan, 1986) → (Kiparsky, 2015; Trommer, 2011, t.a.; Bermúdez-Otero, 2018, i.p.)

Is any combination of phonologies possible within one language?

## Our main claim

Interstratal differences are restricted: \*ABA

There are no ABA-patterns in the representative typologies of

- ✿ tonal behaviour in OCP-contexts
- ✿ tonal behaviour in spreading contexts

Novel theory: Harmonic Layer Theory

- ✿ derives interstratal differences from cyclic optimizations with **a single phonological grammar**
- ✿ based on predictable **activity adjustments** across layers
- ✿ inherently excludes ABA-patterns

Interstratal differences are restricted

---

## A restriction on stratal differences: Lexical Phonology

Strong Domain Hypothesis (Kiparsky, 1984, 1985)

A phonological pattern enforced in any given level must also be enforced at all earlier levels.

	*Excluded by SDH	
Stem ①	not P1	not P1
Word ②	process P1	not P1
Phrase ③	process P1	process P1

- ➔ rejected on empirical grounds
- ➔ an add-on assumption in all models

## Our proposal: The \*ABA-restriction

### (5) The \*ABA restriction

In a given language L:

It is impossible that a morpho-syntactic layer  $L_n$  shows phonological behaviour B1 but an earlier layer  $L_{n-x}$  and a later layer  $L_{n+y}$  both show the same phonological behaviour B2 ( $B2 \neq B1$ ).

### (6) Impossible ABA-patterns: Abstract overview

	Identical context C:		
Stem ①	no repair	process P1	process P1
Word ②	process P1	no repair	process P2
Phrase ③	no repair	process P1	process P1

## Empirical evidence for \*ABA

- ✿ the existing patterns discussed as (counter)evidence for the SDH or CSH are compatible with it
- ✿ representative empirical studies: What is the variation on possible interstratal differences in specific phonological contexts:
  - tonal OCP-contexts
  - tonal spreading contexts
  - ➔ phonological contexts with lots of (morpho-syntactically conditioned?) variation within and across tonal languages, especially Bantu

## Typology I: OCP contexts

The OCP (=Obligatory Contour Principle)

Avoid two adjacent identical elements!

$\underline{V}$ =underlying H;  $\acute{V}$ =surface H,  $\underline{\underline{V}}$ =underlying H not realized

(7) Example: OCP-triggered deletion in Bari (Yokwe, 1986)

a. kópò  
/kópò/  
'cup'

b. pòní à 'dép kòpò  
/pòní à 'dép kópò/  
'Poni held the cup'

## Typology I: OCP contexts

## (8) OCP context: Possible phonological behaviours

Underlying:	H      H ↓      ↓ ●      ●	
a. OCP tolerated	H      H ↓      ↓ ●      ●	–
b. Deletion	H      ● ↓      ↓ ●      ●	Del
c. Downstep	H    L    H ↓      ↓ ●      ●	DS
d. Fusion	H /  \ ●    ●	Fus
e. Dissimilation	H      L ↓      ↓ ●      ●	Diss

## Example: Interstratal OCP differences in Bari (Yokwe, 1986)

V=underlying H; V́=surface H, V̄=underlying H not realized, [ ... ] – (Macro)Stems

(9) **Diss** at 3

[pòní] [à] [’dép] [kòpò]

Poni PST hold cup

‘Poni held the cup’ Y:207

(10) **Diss** at 2

[mòkêt] ná-[kòpò]

handle ASSOC-cup

‘the handle of the cup’ Y:254

(11) – at 1

[kàmú-tát]

guest-SG

‘guest’ Y:173

(12)

Stem 1	Word 2	Phrase 3
–	<b>Diss</b>	<b>Diss</b>

## The OCP and interstratal differences: A representative typology

- ✿ sample: 34 languages  
which employ some repair in a tonal OCP-context
- ✿ one representative pattern per typological classification:  
We excluded languages/varieties showing identical OCP-pattern with an identical typological classification (Hammarström et al., 2025)
- ✿ of these: 14 languages show interstratal differences

## 14 languages with interstratal differences in OCP context





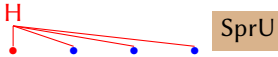


<b>Tiriki</b>	ida	H	Dissimilation, No repair	(Paster and Kim, 2011)
Atlantic-Congo			Northeast Savanna Bantu->Luyia	Tanzania
<b>Chizigula</b>	ziw	H	Deletion, No repair	(Kenstowicz and Kisseberth, 1990)
Atlantic-Congo			Northeast Savanna Bantu->Ruvu	Kenya+Tanzania
<b>Jita</b>	jit	H	Deletion, No repair	(Downing, 1996, 2014)
Atlantic-Congo			Northeast Savanna Bantu->Nyanza Mara	Tanzania
<b>Kishambaa</b>	ksb	H	Fusion, Downstep	(Odden, 1982)
Atlantic-Congo			Northeast Savanna Bantu->Ruvu	Tanzania
<b>Chitonga</b>	toi	H	Deletion, No repair	(Bickmore and Mkoichi, 2018)
Atlantic-Congo			East Bantu->Botatwe	Zambia
<b>Fwe</b>	fwe	H	Deletion, Downstep	(Gunnink, 2018)
Atlantic-Congo			East Bantu->Botatwe	Namibia
<b>Tswana</b>	tsn	H	Fusion, Downstep	(Zerbian, 2015; Zerbian and Kügler, 2015, 2023)
Atlantic-Congo			East Bantu->Nuclear Southern Bantu	Botswana
<b>Shona</b>	sna	H	Fusion, Deletion, No repair	(Myers, 1987, 1997)
Atlantic-Congo			East Bantu->Southern Bantu	Zimbabwe
<b>Dagaare</b>	dga	H	Dissimilation, Downstep, No repair	(Anttila and Bodomo, 2022)
Atlantic-Congo			Mabia->Northwest Oti-Volta	Ghana
<b>Dagbani</b>	dag	H	Dissimilation, No repair	(Hyman, 1993)
Atlantic-Congo			Mabia->Northwest Oti-Volta	Ghana+Togo
<b>Moore</b>	mos	H	Dissimilation, No repair	(Kenstowicz et al., 1988; Kenstowicz, 1994)
Atlantic-Congo			Mabia->Northwest Oti-Volta	Burkina Faso
<b>Igbo</b>	ibo	L	Deletion, No repair	(Clark, 1990)
Atlantic-Congo			Benue-Congo->Igboid	South Sudan
<b>Bari</b>	bfa	H	No repair, Dissimilation	(Yokwe, 1986)
Nilotic			Eastern Nilotic	South Sudan
<b>Moro</b>	mor	H	Deletion, No repair	(Jenks and Rose, 2011, 2015)
Heibanic			West Central Heibanic	Sudan

## Typology of interstratal differences in OCP contexts: No \*ABA

Languages		① Stem	② Word	③ Phrase	Patterns
1.	Chitonga	Del	Del	–	AAB
2.	Igbo	Del	Del	–	
3.	Jita	Del	Del	–	
4.	Dagbani	Diss	Diss	–	
5.	Moore	Diss	Diss	–	
6.	Tiriki	Diss	Diss	–	
7.	Fwe	Del	Del	DS	
8.	Tswana	Fus	Fus	DS	
9.	Bari	–	Diss	Diss	ABB
10.	Kishambaa	Fus	DS	DS	
11.	Chizigula	Del	DS	DS	
12.	Moro	Del	–	–	
13.	Dagaare	Diss	DS	–	ABC
14.	Shona	Fus	Del	–	

## Representative typology II: Spreading contexts

## (13) Spreading contexts: Possible phonological behaviours

Underlying:		
a. Nothing		
b. 1-Spreading		
c. 2-Spreading		
d. Unbounded Spreading		
e. 1-Shifting		
f. 2-Shifting		

## Example: Interstratal spreading differences in Shona (Myers, 1987, 1997)

(14) Toneless root  
 [ku]-[vereng-a]  
 INF-read-FV  
 'to read' (Myers, 1997, 860)

(15) Spr1 at 2  
 [ti-chá]-[véreng-a]  
 1.PL-FUT-read-FV  
 'We will read' (Myers, 1997, 860)

(16) Spr2 at 1  
 [tí-táris-e]  
 1PL/SUBJ-look-FV  
 'we would look' (Myers, 1997, 870)

(17) Spr2 at 1 + Spr1 at 3  
 [ku]-[téng-és-á] [sádza]  
 INF-buy-CAUS-FV porridge  
 'to sell porridge' (Myers, 1997, 862)

(18)

Stem 1	Word 2	Phrase 3
Spr2	Spr1	Spr1

# Spreading contexts and interstratal differences: A representative typology (work in progress)

## ❁ 11 languages with interstratal differences in spreading contexts

<b>Shona</b> Atlantic-Congo	sna	H	Spreading East Bantu->Southern Bantu	(Myers, 1987, 1997) Zimbabwe
<b>Silozu</b> Atlantic-Congo	loz	H	Spreading+Shifting East Bantu->Southern Bantu	(Bickmore, 2024) Namibia
<b>Chitonga</b> Atlantic-Congo	toi	H	Spreading East Bantu->Botatwe	(Bickmore and Mkochi, 2018) Zambia
<b>Copperbelt Bemba</b> Atlantic-Congo	bem	H	Spreading East Bantu->Sabi	(Kula and Bickmore, 2015) Zambia
<b>Jita</b> Atlantic-Congo	jit	H	Shifting Northeast Savanna Bantu->Nyanza Mara	(Downing, 1996, 2014) Tanzania
<b>Dagaare</b> Atlantic-Congo	dga	H	Spreading Mabia->Northwest Oti-Volta	(Anttila and Bodomo, 2022) Ghana
<b>Bari</b> Nilotic	bfa	H	Spreading Eastern Nilotic	(Yokwe, 1986) South Sudan
<b>Laal</b> Laal	gdm	H	Spreading	(Lionnet, 2022) Tchad
<b>Tanacross</b> Athabaskan-Eyak-Tlingit	tcb	H	Spreading Athabaskan->Tananaic	(Holton, 2005) USA
<b>Hän</b> Athabaskan-Eyak-Tlingit	haa	H	Spreading Athabaskan->Tananaic	(Lehman, 2018) Canada
<b>Chalcatongo Mixtec</b> Otomanguean	mig	L	Spreading Eastern Otomanguean->Mixtec	(Macaulay, 1996) Mexico

## Typology of interstratal differences in spreading contexts: No \*ABA

Languages	① Stem	② Word	③ Phrase	Patterns
Bari	–	–	Spr1	AAB
Tanacross	–	–	Spr1	
Han	–	–	Spr1	
Bemba	–	–	SprU	
Jita	–	–	Shift2	
Laal	Spr1	Spr1	–	
Chitonga	Spr1	Spr1	–	
Silozi	Shift2	Shift2	Spr1	
Dagaare	Spr1	–	–	ABB
Chalcotongo Mixtec	Spr1	–	–	
Shona	Spr2	Spr1	Spr1	

## Empirical claim: Summary

## (19) The \*ABA restriction

In a given language L: It is impossible that the same phonological context shows phonological behaviour P1 in layer ① and ③ but a different phonological behaviour P2 in the intermediate layer ②.

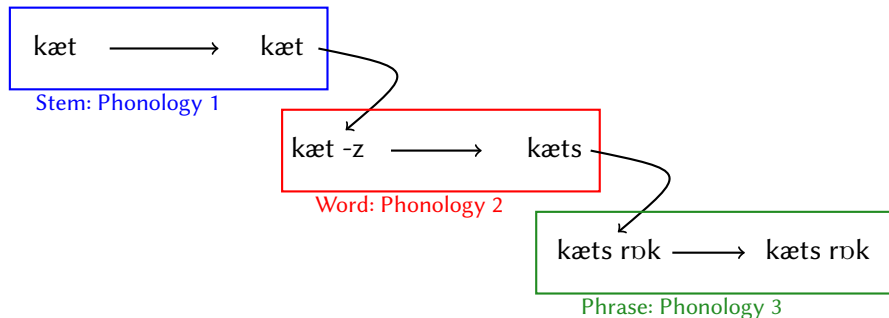
## (20) Impossible ABA-patterns: Abstract overview

	Identical context C:		
Stem ①	no repair	process P1	process P1
Word ②	process P1	no repair	process P2
Phrase ③	no repair	process P1	process P1

## A novel theory: HLT

---

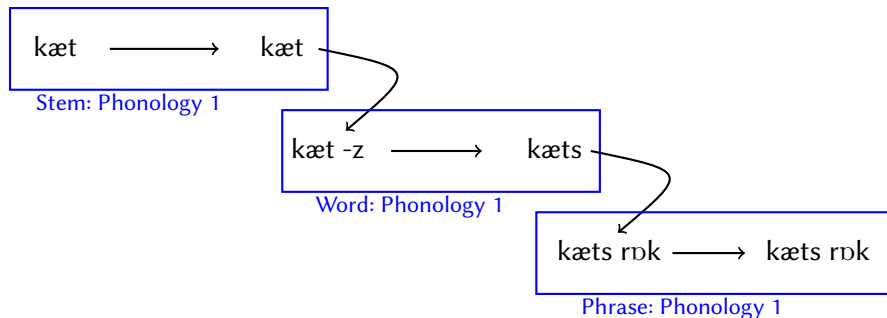
## Co-grammars + \*ABA = a mystery



# Our alternative proposal: Harmonic Layer Theory (=HLT)

(Tebay et al., 2025; Zimmermann, 2025c,b)

Assumption 1: A single phonological grammar optimizes cyclically



## Our alternative proposal: Harmonic Layer Theory (=HLT)

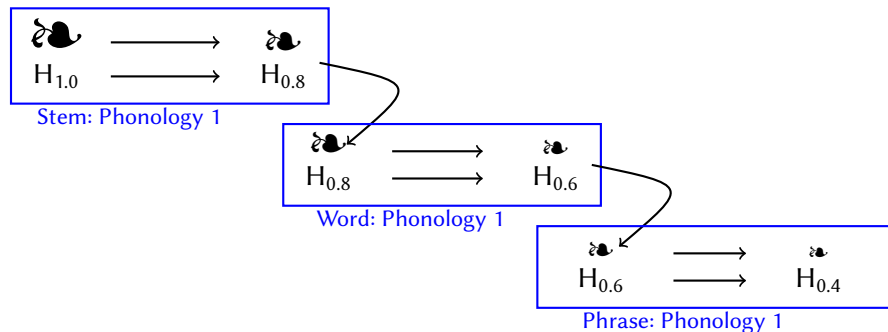
Assumption 2: Phonological elements are gradiently active

- ✿ all linguistic symbols have **activity** that can **gradiently** differ  
(Smolensky and Goldrick, 2016; Rosen, 2016, 2017; Zimmermann, 2018*a,b*, 2019, 2025*a*; Kushnir, 2019; Walker, 2020; Shojaei, 2026)
- ✿ different activities result in **gradient constraint violations** of both faithfulness and markedness constraints
- ➔ different activity of an element = different phonological behaviour

		MAX-H 10	OCP-H   9	
a. /H <sub>1.0</sub> H <sub>1.0</sub> H <sub>1.0</sub> /	✿ a. H <sub>1.0</sub> H <sub>1.0</sub>		-1.0	-9
	b. H <sub>1.0</sub>	-1.0		-10
b. /H <sub>0.8</sub> H <sub>0.8</sub> H <sub>0.8</sub> /	a. H <sub>0.8</sub> H <sub>0.8</sub>		-1.0	-9
	✿ b. H <sub>0.8</sub>	-0.8		-10

# HLT: Putting assumptions 1 and 2 together: Activity loss

There can be **monotonic activity decay** across layers  
 (=elements get weaker by each optimization pass).



→ Given that elements with different activities can behave differently:  
 Monotonic activity adjustment predicts **interstratal differences**

## Different behaviour for OCP problems: Shona in a nutshell

## (21) Different OCP reactions in Shona

Input	Optimal at ① Fus	Optimal at ② Del	Optimal at ③ -
	-H-	H	HH

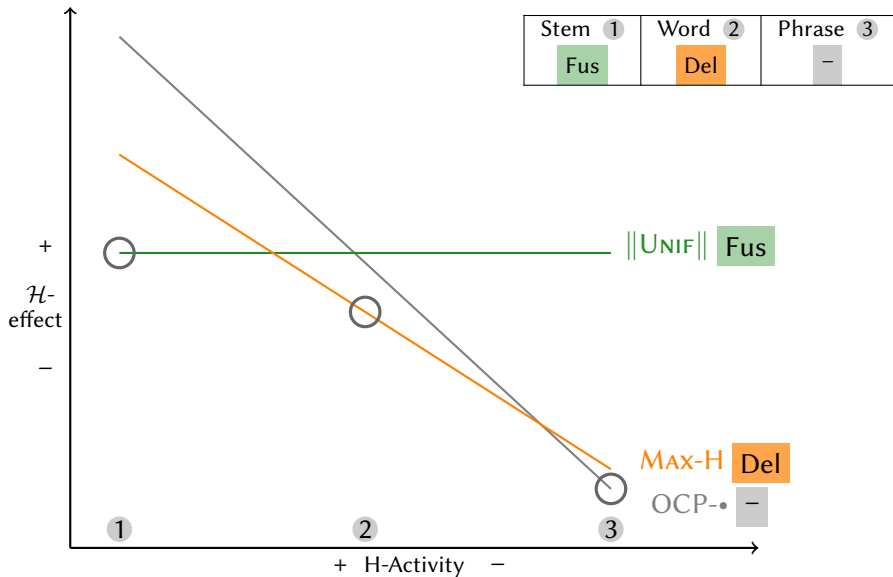
HLT thresholds: Fusion is the default repair but

- ✿ the weaker the H, the cheaper deletion
- ✿ the weaker the H, the easier it is to tolerate the OCP

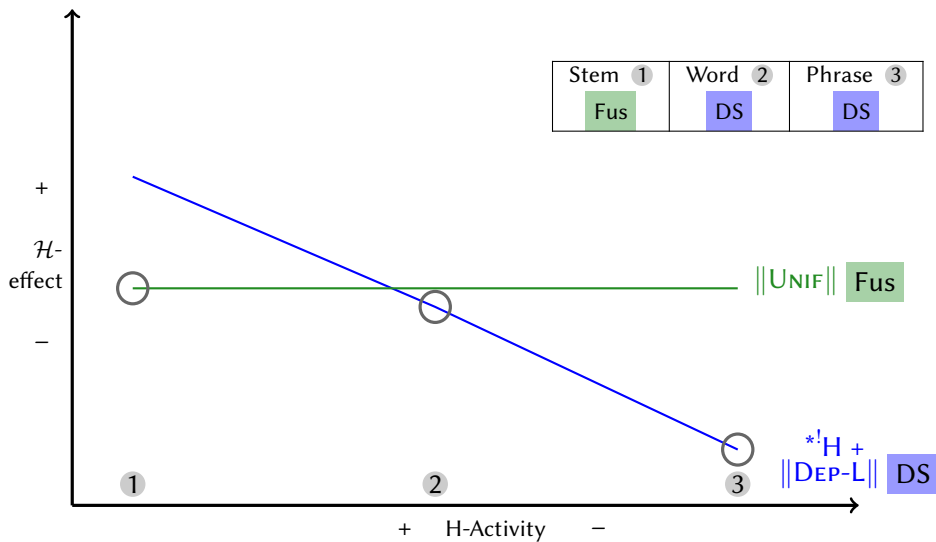
## Shona in HLT

1				OCP-•	MAX-H	$\ UNIF\ $	$\mathcal{H}$
	H <sub>1.0</sub> H <sub>1.0</sub>			27	20	17	
	a.	H <sub>0.8</sub> H <sub>0.8</sub>	-	-0.8			-21.6
	b.	H <sub>0.8</sub>	Del		-1.0		-20.0
	c.	-H <sub>0.8</sub> -	Fus			-1.0	-17.0
2				OCP-•	MAX-H	$\ UNIF\ $	$\mathcal{H}$
	H <sub>0.8</sub> H <sub>0.8</sub>			27	20	17	
	a.	H <sub>0.6</sub> H <sub>0.6</sub>	-	-0.6			-16.2
	b.	H <sub>0.6</sub>	Del		-0.8		-16.0
	c.	-H <sub>0.6</sub> -	Fus			-1.0	-17.0
3				OCP-•	MAX-H	$\ UNIF\ $	$\mathcal{H}$
	H <sub>0.6</sub> H <sub>0.6</sub>			27	20	17	
	a.	H <sub>0.4</sub> H <sub>0.4</sub>	-	-0.4			-10.8
	b.	H <sub>0.4</sub>	Del		-0.6		-12.0
	c.	-H <sub>0.4</sub> -	Fus			-1.0	-17.0

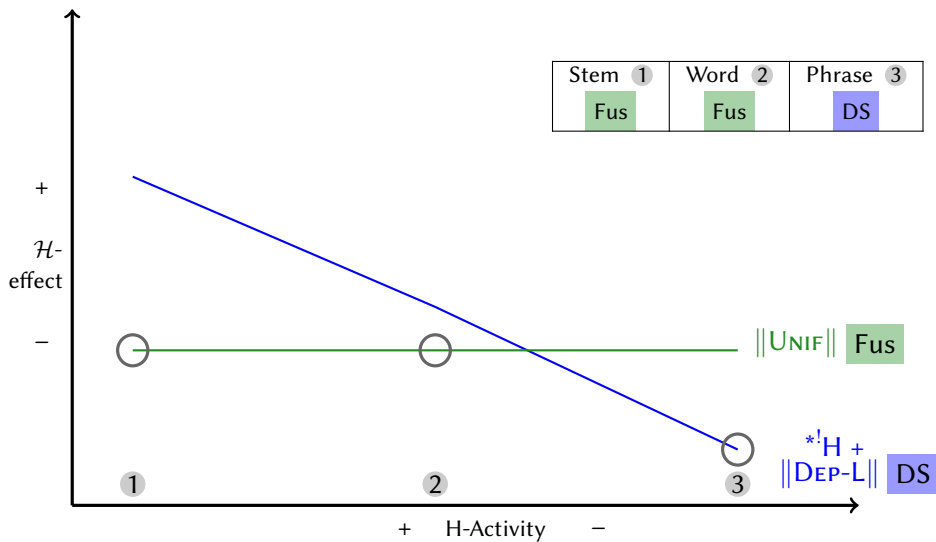
## Shona/Chitonga: HLT thresholds



## Kishambaa: HLT thresholds

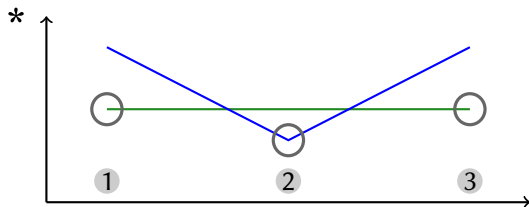


## Tswana/Venda: HLT thresholds



## Interstratal differences in HLT

- ✿ fall out from predictable activity adjustment across layers
- and predictable activity adjustment is **restricted by monotonicity**



- (22) The \*ABA restriction  
 In a given language L: It is impossible that the same phonological context shows phonological behaviour P1 in layer ① and ③ but a different phonological behaviour P2 in the intermediate layer ②.

# Summary

---

# Summary

- ✿ interstratal differences are restricted by \*ABA
- ✿ HLT is inherently restricted by \*ABA: Interstratal differences result from monotonic activity adjustment
- ✿ future research:
  - extend our typological studies (more tonal and non-tonal patterns)
  - explore predictions of HLT beyond the classical three layers

## References I

- Anttila, Arto and Adams Bodomo (2022), 'Tone and morphological level ordering in Dagaare', *Phonology* **39**, 443–471.
- Bermúdez-Otero, Ricardo (2018), Stratal phonology, in S. Hannahs and A. R. K. Bosch, eds, 'The Routledge handbook of phonological theory', Routledge, Abingdon, pp. 100–134.
- Bermúdez-Otero, Ricardo (i.p.), *Stratal Optimality Theory*, Oxford University Press, Oxford.
- Bickmore, Lee (2024), 'Silozi verbal tonology', *Journal of African Languages and Linguistics* **45**, 215–257.
- Bickmore, Lee and Winfred Mkochi (2018), 'OCP effects in Malawian CiTonga tone patterns', *Nordic Journal of African Studies* **27**.
- Caballero, Gabriela (2008), Choguita Rarámuri (Tarahumara) Phonology and Morphology, PhD thesis, UC Berkeley.
- Caballero, Gabriela (2010), 'Scope, phonology and morphology in an agglutinating language: Choguita Rarámuri (Tarahumara) variable suffix ordering', *Morphology* **20**, 165–204.
- Clark, Mary (1990), *The tonal system of Igbo*, Foris, Dordrecht.
- Cole, Jennifer (1986), The interaction of phonology and morphology in Seri, in 'Proceedings of NELS 17'.
- Czaykowska-Higgins, Ewa (1993), 'Cyclicity and stress in Moses-Columbia Salish (Nxa'amxcin)', *Natural Language and Linguistic Theory* **11**, 197–278.
- Downing, Laura (1996), *The Tonal Phonology of Jita*, Lincom, München.
- Downing, Laura (2014), 'Melodic verb tone patterns in Jita', *Africana Linguistica* **20**, 101–119.

## References II

- Gunnink, Hilde (2018), A grammar of Fwe: A Bantu language of Zambia and Namibia, PhD thesis, Ghent University.
- Hammarström, Harald, Robert Forkel, Martin Haspelmath and Sebastian Bank (2025), 'Glottolog 5.2', <https://glottolog.org>, last accessed 07/03/26.
- Holton, Gary (2005), Pitch, tone, and intonation in Tanacross, in S.Hargus and K.Rice, eds, 'Athabaskan prosody', John Benjamins, Amsterdam, Philadelphia, pp. 249–275.
- Hualde, José (1989), 'The strict cycle condition and noncyclic rules', *Linguistic Inquiry* **20**, 675–680.
- Hyman, Larry (1993), Structure preservation and postlexical tonology in Dagbani, in S.Hargus and E. M.Kaisse, eds, 'Studies in lexical phonology', Academic Press, San Diego, pp. 235–254.
- Inkelas, Sharon and Cemil Orhan Orgun (1995), 'Level ordering and economy in the lexical phonology of Turkish', *Language* **71**, 763–793.
- Inkelas, Sharon and Cheryl Zoll (2007), 'Is grammar dependence real? A comparison between cophological and indexed constraint approaches to morphologically conditioned phonology', *Linguistics* **45**(1), 133–171.
- Jenks, Peter and Sharon Rose (2011), 'High tone in Moro: effects of prosodic categories and morphological domains', *Natural Language and Linguistic Theory* **29**, 211–250.
- Jenks, Peter and Sharon Rose (2015), 'Mobile object markers in Moro: The role of tone', *Language* pp. 269–307.
- Kaisse, Ellen M. and Patricia A. Shaw (1985), 'On the theory of lexical phonology', *Phonology* **2**, 1–30.

## References III

- Kenstowicz, Michael (1994), *Phonology in generative grammar*, Cambridge MA: Blackwell.
- Kenstowicz, Michael and Charles Kisseberth (1990), Chizigula tonology: The word and beyond, *in* and Sharon Inkelas and D.Zec, eds, 'The Phonology-Syntax Connection', University of Chicago Press, Chicago and London, pp. 163–194.
- Kenstowicz, Michael, Emmanuel Nikiema and Meterwa Ourso (1988), 'Tonal polarity in two Gur languages', *Studies in the Linguistic Sciences* **18**, 77–104.
- Kiparsky, Paul (1982), Lexical morphology and phonology, *in* I.-S.Yang, ed., 'Linguistics in the morning calm', Hanshin, pp. 3–91.
- Kiparsky, Paul (1984), On the lexical phonology of Icelandic, *in* C.-C.Elert, I.Johansson and E.Strangert, eds, 'Nordic Prosody III: Papers from a Symposium', Almqvist & Wiksell, Stockholm, pp. 135–164.
- Kiparsky, Paul (1985), 'Some consequences of lexical phonology', *Phonology* **2**, 85–138.
- Kiparsky, Paul (2015), Stratal OT: A synopsis and FAQs, *in* Y. E.Hsiao and L.-H.Wee, eds, 'Capturing Phonological Shades Within and Across Languages', Cambridge Scholars Publishing, Newcastle upon Tyne, pp. 2–44.
- Kula, Nancy and Lee Bickmore (2015), 'Phrasal phonology in Copperbelt Bemba', *Phonology* **32**, 147–176.
- Kushnir, Yuriy (2019), Prosodic patterns in Lithuanian morphology, PhD thesis, Leipzig University.
- Lehman, Blake (2018), 'Tone-prominence interaction in Hän', poster, presented at AMP 2018, UC San Diego.

## References IV

- Lesley-Neumann, Diane (2012), 'Morpho-phonological levels and grammaticalization in Karimojong: A review of the evidence', *Studies in African Linguistics* **41**, 100–169.
- Lionnet, Florian (2022), 'The features and geometry of tone in laal', *Phonology* **39**, 251–292.
- Macaulay, Monica (1996), *A grammar of Chalcatongo Mixtec*, University of California Press, Berkeley.
- Marlett, Stephen (1997), 'Empty consonants in root-medial position', *Work Papers of the Summer Institute of Linguistics, University of North Dakota Session* **41**.
- Marlett, Stephen A. and Joseph Paul Stemberger (1983), 'Empty consonants in Seri', *Linguistic Inquiry* **14**, 617–639.
- Mohanan, Karuvannur Puthanveetil (1982), *Lexical Phonology*, PhD thesis, MIT.
- Mohanan, Karuvannur Puthanveetil (1986), *The Theory of Lexical Phonology*, Reidel Publishing Co., Dordrecht, Holland.
- Mohanan, Tara (1989), 'Syllable structure in Malayalam', *Linguistic Inquiry* **20**, 589–625.
- Myers, Scott (1987), *Tone and the Structure of Words in Shona*, PhD thesis, University of Massachusetts, Amherst.
- Myers, Scott (1997), 'OCP effects in Optimality Theory', *Natural Language and Linguistic Theory* **15**(4), 847–892.
- Odden, David (1982), 'Tonal phenomena in Kishambaa', *Studies in African Linguistics* **13**, 177–208.
- Paster, Mary and Yuni Kim (2011), 'Downstep in Tiriki', *Linguistic Discovery* **9**, 71–104.

## References V

- Rosen, Eric (2016), Predicting the unpredictable: Capturing the apparent semi-regularity of rendaku voicing in Japanese through Harmonic Grammar, in E.Clem, V.Dawson, A.Shen, A. H.Skilton, G.Bacon, A.Cheng and E. H.Maier, eds, 'Proceedings of BLS 42', Berkeley Linguistic Society, Berkeley, pp. 235–249.
- Rosen, Eric (2017), 'Predicting semi-regular patterns in morphologically complex words (an analysis of the semi-regularity of pitch accent in Japanese compound words)', *Linguistics Vanguard* 4.
- Rubach, Jerzy (2013), 'Exceptional segments in Polish', *Natural Language and Linguistic Theory* 31, 1139–1162.
- Sande, Hannah (2017), Distributing morphologically conditioned phonology: Three case studies from Guébie, PhD thesis, University of California, Berkeley.
- Shojaei, Razieh (2026), 'Competition and gradience: A representational account of suffix-induced accentuation in Tokyo Japanese', *Glossa* 11, 1–52.
- Smolensky, Paul and Matthew Goldrick (2016), 'Gradient symbolic representations in grammar: The case of French liaison', Ms, Johns Hopkins University and Northwestern University, ROA 1286.
- Stonham, John (2007), 'Nuuchahnulth double reduplication and stratal Optimality Theory', *Canadian Journal of Linguistics* 52, 105–130.
- Tebay, Sören E., Jochen Trommer and Eva Zimmermann (2025), 'The OCP across languages: An argument for Harmonic Layer Theory', Submitted Ms., Leipzig University, lingbuzz/008916.
- Trommer, Jochen (2011), 'Phonological aspects of Western Nilotic mutation morphology', Habilitation, Leipzig University.

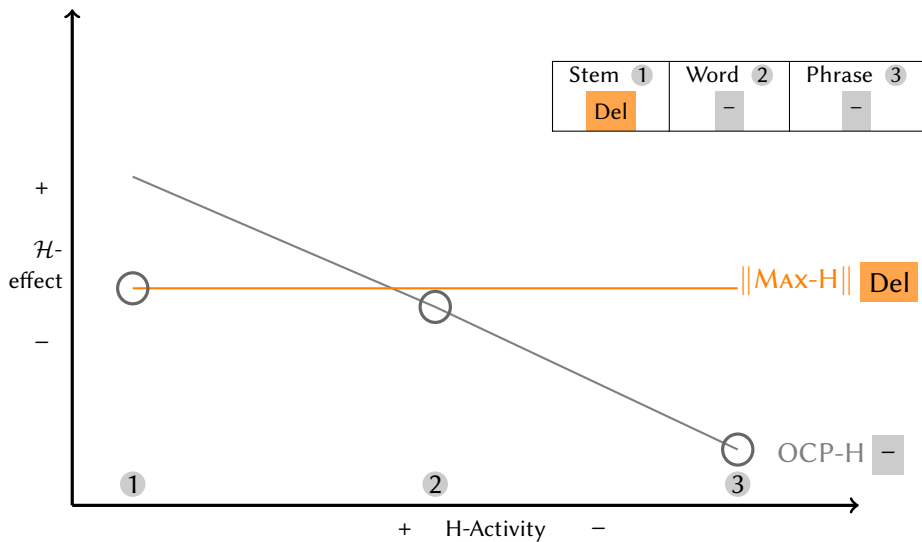
## References VI

- Trommer, Jochen (2021), 'The concatenative structure of tonal overwriting', Ms., Leipzig University.
- Trommer, Jochen (2023), 'Against introfication in Karimojong', talk, given at the Cyclops fall retreat.
- Trommer, Jochen (t.a.), Strata, in 'Cambridge Handbook of Phonology', Cambridge University Press.
- Walker, Rachel (2020), Gradient activity in Korean place assimilation, in Y. S. . A. W.Mariam Asatryan, ed., 'Proceedings of the North East Linguistics Society 50', Vol. 3, GLSA, Amherst, MA, pp. 207–220.
- Yokwe, Eluzai M. (1986), The tonal grammar of Bari, PhD thesis, University of Illinois at Urbana-Champaign.
- Zerbian, Sabine (2015), 'Adjacent high tones at word- and phrase level in Tswana', talk at the workshop 'Morphosyntactic Triggers of Tone: New Data and Theories', Leipzig University.
- Zerbian, Sabine and Frank Kügler (2015), Downstep in Tswana (Southern Bantu), in 'International Congress of Phonetic Sciences'.
- Zerbian, Sabine and Frank Kügler (2023), 'Sequences of high tones across word boundaries in Tswana', *Journal of the International Phonetic Association* 53.
- Zimmermann, Eva (2018a), Being exceptional is being weak: Tonal exceptions in San Miguel el Grande Mixtec, in G.Gallagher, M.Gouskova and S. H.Yin, eds, 'Proceedings of AMP 2017', LSA, <http://dx.doi.org/10.3765/amp>.
- Zimmermann, Eva (2018b), Gradient Symbolic Representations in the output: A case study from Moses Columbian Salishan stress, in S.Hucklebridge and M.Nelson, eds, 'Proceedings of NELS 48', pp. 275–284.

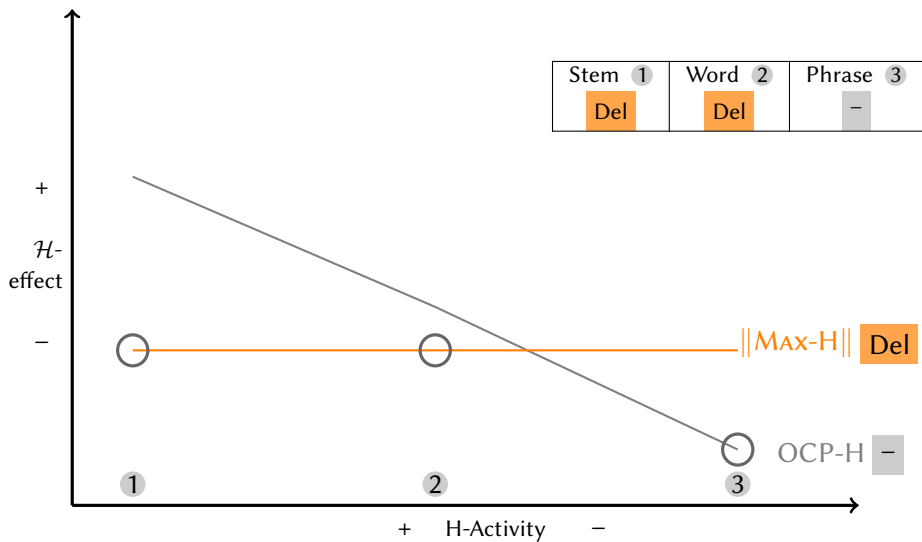
## References VII

- Zimmermann, Eva (2019), Gradient symbolic representations and the typology of ghost segments, *in* K.Hout, A.Mai, A.McCollum, S.Rose and M.Zaslansky, eds, 'Proceedings of AMP 2018', LSA, <https://doi.org/10.3765/amp>.
- Zimmermann, Eva (2025a), Cooperation at the morpho-phonology interface: An argument for phonological locality, *in* N.Webster, Y.Kiper, R.Wang and S. L.Lyu, eds, 'Proceedings of the 41st West Coast Conference on Formal Linguistics', Cascadia Press, Somerville, MA, pp. 18–38.
- Zimmermann, Eva (2025b), 'The fate of tone-less TBU's in Zacatepec Chatino across morphological layers', Submitted Ms., Leipzig University, [lingbuzz/008917](https://lingbuzz.org/lingbuzz/008917).
- Zimmermann, Eva (2025c), 'Gradual weakening across morphological layers: Solving stratal paradoxes in Kashaya', Submitted Ms., Leipzig University, [lingbuzz/009819](https://lingbuzz.org/lingbuzz/009819).
- Zoll, Cheryl (1996), Parsing below the segment in a constraint-based framework, PhD thesis, UC Berkeley.

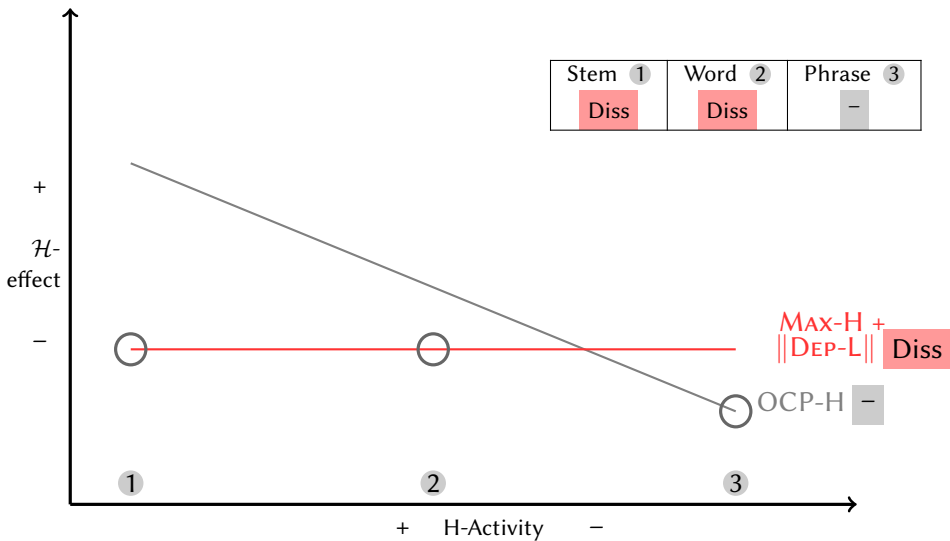
# Moro: HLT thresholds



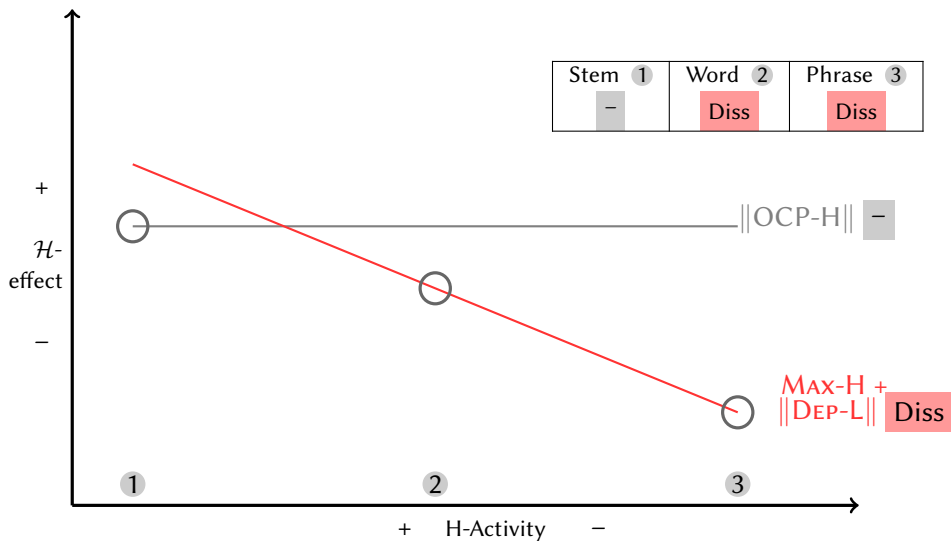
# Jita/Chizigula: HLT thresholds



# Tiriki/Dagbani/Moore: HLT thresholds



## Bari: HLT thresholds



# Interstratal OCP-asymmetries: Moore (Kenstowicz et al., 1988; Kenstowicz, 1994)

(23)

H-toned suffix, p.78

	SG	PL	
a.	kòr-gó	kòr-dó	'sack'
b.	ròò-gó	rò-tó	'house'

(24)

Diss at 1 / 2 for the suffix, p.78

	SG	PL	
a.	wób-gò	wób-dò	'elephant'
b.	lán-gò	lán-dò	'hole'

(25)

- at 3

- |    |               |                 |      |
|----|---------------|-----------------|------|
| a. | sá béd-a      | 'big brooms'    |      |
|    | cf. kor béd-a | 'big sacks'     | p.83 |
| b. | zá sáa-ga     | 'bring a broom' |      |
|    | cf. kò sáa-ga | 'give a broom'  | p.80 |

(26)

Stem 1	Word 2	Phrase 3
Diss	Diss	-

# Moore: HLT tableaux

✿ based on a directional OCP: \* $\underline{H}H_x$

1	$H_{1.0}$	OCP-H 10	$\ MAX-H\ $ 6	$\ DEP-L\ $ 1	$\mathcal{H}$
	☞ a. $H_{0.8}$				0
2	$H_{0.8} H_{1.0}$	OCP-H 10	$\ MAX-H\ $ 6	$\ DEP-L\ $ 1	$\mathcal{H}$
	a. $H_{0.6} H_{0.8}$ -	-0.8			-8.0
	☞ b. $H_{0.6} L_{0.8}$ Diss		-1.0	-1.0	-7
3	$H_{0.6} H_{0.6}$	OCP-H 10	$\ MAX-H\ $ 6	$\ DEP-L\ $ 1	$\mathcal{H}$
	☞ a. $H_{0.4} H_{0.4}$ -	-0.4			-4.0
	b. $H_{0.4} L_{0.4}$ Diss		-1.0	-1.0	-7

## Bari: HLT tableaux

①				MAX-H	OCP-H	DEP-L	$\mathcal{H}$
		H <sub>1.0</sub> H <sub>1.0</sub>		10	10	1	
	☞ a.	H <sub>0.8</sub> H <sub>0.8</sub>	-		-1.0		-10.0
	b.	H <sub>0.8</sub> L <sub>0.8</sub>	Diss	-1.0		-1.0	-11.0
②				MAX-H	OCP-H	DEP-L	$\mathcal{H}$
		H <sub>1.0</sub> H <sub>0.8</sub>		10	10	1	
	a.	H <sub>0.8</sub> H <sub>0.6</sub>	-			-1.0	-10.0
☞ b.	H <sub>0.8</sub> L <sub>0.6</sub>	Diss	-0.8		-1	-9.0	
③				MAX-H	OCP-H	DEP-L	$\mathcal{H}$
		H <sub>0.6</sub> H <sub>0.8</sub>		10	10	1	
	a.	H <sub>0.4</sub> H <sub>0.6</sub>	-			-1.0	10.0
☞ b.	H <sub>0.4</sub> L <sub>0.6</sub>	Diss	-0.8		-1	-9.0	

✿ in addition: ALTERNATION prohibits morpheme-internal OCP-repairs at ③ (=a deassociated H must be rescued unto a neighboring TBU)

- ✿ Mohanan (1989) and syllabification in Malayalam: codas necessary early but only open syllables later
- ✿ Turkish Velar Drop Inkelas and Orgun (1995): only applies at levels 3+4 but not 1+2
- ✿ Dagbani High Tone Spreading Hyman (1993): HTS1 and HTS2 – both are seemingly phrasal (but also apply within words)
- ✿ vowel raising in Basque Hualde (1989)

## Interstratal OCP-asymmetries: Kishambaa (Odden, 1982)

- (27) **Fus** at ① between Obj+root  
[ku]-[wá-kóm-á]  
INF-them-kill-FV  
'to kill them' (O2:191)
- (28) **DS** at ② (+HSread)  
[ní-kí]-[<sup>1</sup>chí-kóm-á]  
1SG-PROG-it-kill-FV  
'I was killing it' (O2:191)

- (29) **DS** at ③  
ní<sup>1</sup>kúí  
COP dog  
'it is a dog' (O2:187)

- (30)
- | Stem ①     | Word ②    | Phrase ③  |
|------------|-----------|-----------|
| <b>Fus</b> | <b>DS</b> | <b>DS</b> |

# Interstratal OCP-asymmetries: Bari (Yokwe, 1986)

(31)

– at ①: Root+singulative

- a. kàmú-tát ‘guest’
- b. bóyí-tát ‘wild fig tree’
- cf. yàng’ò-tát ‘yaws’, p.172

(32)

Diss at ②: Ass.pfx+NP2

- b. /kú’bâ ló-kúlàng’/  
kú’bâ ló-kùlàng’  
‘the in-law of Kulang’, p.254

(33)

Diss at ③ between V+NP

- d. /pòní à ’dép kópò/  
pòní à ’dép kòpò  
‘Poni held the cup’, p.207

(34)

Diss at ③: NP2+ass.pfx

- c. /rét ná-bòngó?/  
rét nâ-bòngó?  
‘the tear of the cloth’, p.259

(35)

Stem ①	Word ②	Phrase ③
–	Diss	Diss

## Apparent ABA in Seri?

### (36) Prefix classes in Seri (Cole, 1986)

	OBL	DIR	Object	Subject	Mood	NEG
V-deletion *VV	+	+	-	-	+	+
C__C /i/-deletion	-	-	-	-	-	-

But not compatible with a standard stratal architecture

- acknowledged in Cole (1986): Affixes within one stratum are arbitrarily marked for triggering [ $\pm$ cyclic] rules
- better analysed as **morpheme-specific undergoers** of deletion:  
Representational account as ghost/latent vowels (Zoll, 1996; Rubach, 2013; Zimmermann, 2019)
  - corroborated by arguments that Seri has ghost/empty C's (Marlett and Stemberger, 1983; Marlett, 1997)

## Apparent ABA in Choguita Rarámuri?

### (37) Suffix classes in Caballero (2010)

Stress	Derived stem		Syntactic stem			Aspectual stem			Finite verb		Sub verb	
	INCH	TR	APPL	CAUS	APPL	DESID	MOT	EV	Voice/Asp	TAM		TAM
	Shifting		Neutral			Shifting		Neutral		Shifting		Neutral

But even more ‘strata’

And affix re-ordering across ‘strata’

– a cophology account in Caballero (2008)

→ representational accounts exist that explain stress-behaviour of morphemes from different underlying representations (?)

- ✿ similar patterns where two different classes of affix-behaviour do not correlate with affix ordering:
  - tone replacive and non-replacive suffixes in Hausa (Inkelas and Zoll, 2007); representational re-analysis in Trommer (2021)
  - stress-dominant or recessive affixes in Moses Columbian Salish (Czaykowska-Higgins, 1993); representational re-analysis in Zimmermann (2018*b*)
  - a suffix not triggering in ATR-harmony ordered inbetween those that do in Karimojong (Lesley-Neumann, 2012); representational re-analysis in Trommer (2023)
  - ...
- ➔ morpheme-specificity is expected in a HLT system that is based on gradient representations: We expect that **lexical activity differences** can cross-cut the predictably activity adjustment

## Restrictions on stratal differences: Lexical Phonology

### Continuous Stratum Hypothesis (Mohanan, 1982, 1986)

aka: 'Stratum Domain Hypothesis' or 'Continuity of Strata Hypothesis'

The domain of a rule is specified as a set of continuous strata (Mohanan, 1982).

The domain of a rule may not contain nonadjacent strata (Mohanan, 1986).

### Strong Domain Hypothesis (Kiparsky, 1984, 1985)

A phonological pattern enforced in any given level must also be enforced at all earlier levels.

	*Excluded by SDH	
Stem ①	not P1	not P1
Word ②	process P1	not P1
Phrase ③	process P1	process P1

	*Excluded by CSH
Stem ①	process P1
Word ②	not process P1
Phrase ③	process P1

- SDH rejected on empirical grounds
- they are add-on assumptions in all models

## Example: Interstratal OCP differences in Shona (Myers, 1987, 1997)

V=underlying H; V̇=surface H, V=underlying H not realized, [ ... ] – (Macro)Stems

(38)

Fus at ① between Obj+root

[tí-téng-és-é]

1PL/SUBJ-buy-CAUS-FV

‘we should sell’ (M7:870)

(39)

Del at ②, fed by Fus at ①

[há]-[tí-téng-es-e]

HORT-1PL/SUBJ-buy-CAUS-FV

‘let us sell’ (M7:870)

(40)

No Del at ②

[í]-[badzá]

COP-hoe

‘(it) is a hoe’ (M7:860)

(41)

- at ③

badzá gúrú

hoe big

‘big hoe’ (M7:874, FN.21)

## Interstratal differences: Shona

	Derivation 1		Derivation 2		Derivation 3	
	H   ha	H H     ti-teng-es - e	H   i	H   ba dza	H   ba dza	H   gu ru
①	H   ha	H   ti teng es e Fusion (+H-Spread)	H   i	H   ba dza	H   ba dza	H   gu ru (H-Spread)
②	H   ha ti teng es e Deletion		H   i ba dza	H   ba dza	H   ba dza	H   gu ru
③	H   ha ti teng es e		H   i ba dza	H   ba dza	H   ba dza	H   gu ru

(42) Interstratal differences in Shona: Adjacent H-tones

Stem ①	Word ②	Phrase ③
Fus	Del	-