Gradient activity results in gradient markedness: A representational account of phonological exceptions

(Extended slides to accompany the virtual poster presentation)

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- The assumption of Gradient Symbolic Representations that phonological elements can have different degrees of activation allows a unified explanation for patterns of exceptions.
- This representational explanation for different phonological behaviour dispenses with true 'exceptionality': A single phonological grammar and contrasting underlying representations.
- & Four predictions set this account apart from alternatives:
 - ① Unified account for (non)undergoers and (non)triggers.
 - ² Exceptionality for more than one process.
 - ③ Degrees of exceptionality.
 - ④ Implicational restrictions between exceptionality patterns.



- 1. Proposal
- 1.1 Gradient Symbolic Representation in Input/Output
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Proposal

Gradient Symbolic Representation in Input/Output

Gradient Symbolic Representation in Input/Output (=GSRO)

- all linguistic symbols have activity that can gradiently differ and 1 is the default activity (Smolensky and Goldrick, 2016; Rosen, 2016)
- any change in activity is a faithfulness violation different activities result in gradient violations of faithfulness
- elements can be gradiently active in the output and thus violate markedness constraints gradiently

(Zimmermann, 2017*a*,*b*; Faust and Smolensky, 2017; Jang, 2019; Walker, 2019)

grammatical computation modeled inside Harmonic Grammar where constraints are weighted (Legendre et al., 1990; Potts et al., 2010)

GSRO: Gradient Constraint Violations

- constraints are violated/satisfied relative to the activity of the relevant elements
- 🗞 elements preferably have the default activity of 1 (=*WEAK, *STRONG)
- \sim e.g. the underlyingly weakly active segment in (1)
 - is easier to delete than a fully active segment
 - is costly to realize
 - tolerates more marked structures
- (1) Gradient activity=gradient constraint violations

| b ₁ a | ₁ t ₁ -p _{0.5} | *₩еак | MaxS | DepS | *CC | | |
|------------------|---|-------|------|------|-------|-------|--------------------------------|
| | | 10 | 10 | 10 | 10 | | |
| a. | b ₁ a ₁ t ₁ p ₁ | | | -0.5 | -1 | -15 | Only fully active S |
| b. | $b_1a_1t_1p_{0.5}$ | -0.5 | | | -0.75 | -12.5 | Faithful realization of weak S |
| с. | b ₁ a ₁ p _{0.5} | -0.5 | -1 | | | -15 | Deletion of fully active S |
| I® d. | b ₁ a ₁ t ₁ | | -0.5 | | | -5 | Deletion of weakly active S |

GSRO and Exceptions

 if the underlying representation of two morphemes in a language contain identical phonological elements with different degrees of activity, they might show different phonological behaviour (=one is described as 'exception')

→ 'exceptions' in GSRO = contrastive underlying representations

Gradient Symbolic Representations: Broader Context

that linguistic elements are not categorical but have strength differences is not a new idea

(e.g. Rizzi (1986) and Koster (1986) for functional categories in syntax, Garde (1965): some lexical accent system are based on scalar grades of accent strength,...)

- other work on non-categorical elements in neural networks
 (e.g. Corina (1994) on induction of prosodic categories in neural networks)
- a can also predict **phonetic gradience**

(e.g. subphonemic gradience in word-final devoicing, nasal place assimilation, flapping (e.g. Braver, 2013), vowel harmony is gradient (McCollum, 2018),...)

- **different from a binary** distinction into strong/weak (Inkelas, 2015; Vaxman, 2016*a,b*; Sande, 2017)
- here: predictions of gradient (=numerical) phonological strength in an OT-system as explanation for 'exceptional' behaviour

General Arguments for GSR(O)

1. Embedded in a general **computational architecture for cognition** (=Gradient Symbolic Computation, Smolensky and Goldrick, 2016)

2. A unified account for different exceptional phonological behaviours:

- liaison consonants in French (Smolensky and Goldrick, 2016)
- 𝕒 semi-regularity of voicing in Japanese Rendaku (Rosen, 2016)
- allomorphy in Modern Hebrew (Faust and Smolensky, 2017)
- lexical accent in Lithuanian (Kushnir, 2017)
- I tone sandhi in Oku (Nformi and Worbs, 2017)
- tone allomorphy in San Miguel el Grande Mixtec (Zimmermann, 2017*a*,*b*)
- 𝕒 lexical stress in Moses Columbian Salishan (Zimmermann, 2018d)
- exceptional tone (non)spreading in San Molinos Mixtec (Zimmermann, 2018b)
- 𝕒 interaction of phonological/lexical gemination/lenition in Italian (Amato, 2018)
- (interacting) ghost segments in Welsh (Zimmermann, 2018c)
- ٠..

Illustrating Four Predictions of the Model

GSRO: Four Predictions = Four Arguments

- ① A unified account for exceptional (non)undergoers and (non)triggers.
- ² Elements can be exceptional for more than one process.
- ③ There can be different degrees of exceptionality (for the same process within a language).
- ④ Exceptionality patterns within one language underlie implicational restrictions.

① Types of Exceptions: Toy Example

(Classification into undergoers/triggers from Lakoff (1970))

A general phonological rule in Lg1: Parasitic Backness Vowel Harmony

| pon-ek | ightarrow ponok | VH if same height |
|--------|-----------------|---------------------------|
| put-ek | ightarrow putek | No VH if different height |

1. Exceptional non-undergoer Same height: No VH pon- et → ponet, *ponot

3. Exceptional undergoer *Different height: VH*

put– em \rightarrow putom, *putem

2. Exceptional non-trigger *Same height: No VH*

ton $-ek \rightarrow tonek$, *tonok

4. Exceptional trigger Different height: VH put $-ek \rightarrow putok$, *putek

① Unified Account for Exceptional (Non)Undergoers and (Non)Triggers: Our Toy Example

(2) a. Max[вк]

Assign -X violation for every input feature $[back]_X$ without an output correspondent.

b. Sн[вк]

Assign -X violation for every pair of tier-adjacent vowels V_A and V_B with different [\pm back] specifications where -X is the mean activity $\frac{A+B}{2}$.

с. Sh[вк]_{ні}

Assign -X violation for every pair of tier-adjacent vowels V_A and V_B with the same specification for [±high] but different [±back] specifications where -X is the mean activity $\frac{A+B}{2}$.

① Toy Example: Four Patterns of Exceptionality in GSRO

(3) 'Regular': No VH if diff. height

| $p_1u_1t_1-e_1k_1$ | Мах[вк] 15 | Sн[вк] _{ні} 10 | Sн[вк] 10 | |
|---|---------------|----------------------------|--------------|-----|
| \mathbb{S} a. $p_1u_1t_1e_1k_1$ | | | -1 | -10 |
| b. p ₁ u ₁ t ₁ o ₁ k ₁ | -1 | | | -15 |

(4) 'Regular': VH if same height

| $p_1o_1n_1-e_1k_1$ | Мах[вк] | Sh[вк] _{ні} | Sh[вк] | |
|----------------------|---------|----------------------|--------|-----|
| | 15 | 10 | 10 | |
| a. $p_1o_1n_1e_1k_1$ | | -1 | -1 | -20 |
| r≊ b. p₁o₁n₁o₁k₁ | -1 | | | -15 |

① Toy Example: Four Patterns of Exceptionality in GSRO

(5) Exceptional trigger: Stronger stem-vowel enforces VH even if different height

| $k_1u_3n_1 - e_1k_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|----------------------|---------|----------------------|--------|-----|
| | 15 | 10 | 10 | |
| a. $k_1u_3n_1e_1k_1$ | | | -2 | -20 |
| r≊ b. k₁u₃n₁o₁k₁ | -1 | | | -15 |

(6) Exceptional non-trigger:

Weaker stem-vowel doesn't enforce VH even if same height

| $t_1 o_{0.4} n_1 - e_1 k_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|---|---------|----------------------|--------|-----|
| | 15 | 10 | 10 | |
| \mathbb{R} a. $k_1 o_{0.4} I_1 e_1 k_1$ | | -0.7 | -0.7 | -14 |
| b. $k_1 o_{0.4} I_1 o_1 k_1$ | -1 | | | -15 |

① Toy Example: Four Patterns of Exceptionality in GSRO

(7) Exceptional undergoer:Weaker affix-vowel¹undergoes VH even if different height

| $p_1u_1t_1 - e_{0.4}m_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|-----------------------------------|---------|----------------------|--------|----|
| | 15 | 10 | 10 | |
| a. $p_1u_1t_1e_{0.4}m_1$ | | | 0.7 | -7 |
| $rest b. p_1 u_1 t_1 o_{0.4} m_1$ | -0.4 | | | -6 |

¹ Abbreviation: The feature [-back] is weak, not the segment.

(8) Exceptional non-undergoer:Stronger affix-vowel resists VH even if same height

| $p_1o_1n_1 - e_3t_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|-----------------------------------|---------|----------------------|--------|-----|
| | 15 | 10 | 10 | |
| \mathbb{R} a. $p_1o_1n_1e_3t_1$ | | -2 | -2 | -40 |
| b. $p_1o_1n_1e_3t_1$ | -3 | | | -45 |

① Four Patterns of Exceptionality and GSRO: Summary

 E_{1-x} (=weaker than the 'default' element E_1) can result in being an exceptional

- \mathcal{L} undergoer: Not as protected by faithfulness as E_1
- $\boldsymbol{\mathfrak{F}}$ non-undergoer: Not inducing as much markedness violation as E_1
- \sim non-trigger: Not inducing as much markedness violation as E₁

E_{1+x} (=stronger than the 'default' element E_1) can result in being an exceptional

- $\boldsymbol{\mathfrak{F}}$ undergoer: Inducing more markedness violation than E_1
- $\boldsymbol{\mathfrak{F}}$ non-undergoer: Protected more by faithfulness as E_1
- \mathcal{L} trigger: Inducing more markedness violation than E_1

① Four Patterns of Exceptionality: Empirical Picture

1. Exceptional non-undergoers

- Some M-tones resist to undergo a dissimilation into H in Kagwe (Hyman, 2010)
- Some moras are non-hosts for floating tones in San Miguel el Grande Mixtec (Pike, 1944; McKendry, 2013)

3. Exceptional undergoers

æ ...

°& ...

- only some vowels undergo V-harmony in Y. Mayan (Krämer, 2003)
- only some segments are deleted to avoid a marked structure in, e.g., Nuuchahnulth or Yawelmani (Noske, 1985; Zoll, 1996)

2. Exceptional non-triggers

- some vowels do not trigger otherwise regular ATR-harmony in Classical Manchu (Smith, 2017)
- Some H-tones in Molinos Mixtec don't undergo H-spreading (Hunter and Pike, 1969)

۰۰۰ 🔊

°a⊾ ...

4. Exceptional triggers

- some suffixes trigger deletion of a preceding V in Yine (Pater, 2010)
- some suffixes trigger raising of a preceding low V in Assamese (Mahanta, 2012)

⁽²⁾ Exceptionality for More than one Process

- 'exceptional' behaviour=activity of a phonological elements in a morpheme representation results in a gradient violation of constraint X
- it also results in a gradient violation of constraint Y and might result in 'exceptional' behaviour for another process

⁽²⁾ Exceptionality for More than one Process: Extending our Toy Example

A general phonological rule in Lg2: Parasitic Backness Vowel Harmony

| po-nek | ightarrow ponok | VH if same height |
|--------|-----------------|---------------------------|
| pu-nek | ightarrow punek | No VH if different height |

Another general phonological rule in Lg2: Vowel hiatus avoidance

pu-ok \rightarrow pok

Deletion of first V

1. Exceptional trigger for VH

Different height: VH <mark>ku</mark>−nek → kunok, *kunek

2. Exceptional non-undergoer of VD

Vowel hiatus: No deletion <mark>ku</mark>−ok → kuok, *kok

⁽²⁾ Exceptionality for More than one Process: GSRO

(9) 'Regular': No VH if diff. height

| p ₁ u ₁ -n ₁ e ₁ k ₁ | *VV 28 | MaxS 20 | Мах[вк] 15 | Sн[вк] _{ні} 10 | Sн[вк] 10 | |
|---|-----------|------------|---------------|----------------------------|--------------|-----|
| I™ a. p1u1n1e1k1 | | | | | -1 | -10 |
| b. p1u1n101k1 | | | -1 | | | -15 |

(10) Exceptional trigger:

Stronger stem-vowel enforces VH even if different height

| k_1u_3 $-n_1e_1k_1$ | *VV | MaxS | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|-----------------------|-----|------|---------|----------------------|--------|-----|
| | 28 | 20 | 15 | 10 | 10 | |
| a. $k_1u_3n_1e_1k_1$ | | | | | -2 | -20 |
| r≊ b. k₁u₃n₁o₁k₁ | | | -1 | | | -15 |

⁽²⁾ Exceptionality for More than one Process: GSRO

(11) 'Regular': VD to avoid hiatus

| $p_1u_1-o_1k_1$ | *VV 28 | MaxS 20 | Мах[вк] 15 | Sн[вк] _{ні} 10 | Sн[вк] 10 | |
|-------------------|-----------|------------|---------------|----------------------------|--------------|-----|
| a. $p_1u_1o_1k_1$ | -1 | | | | | -28 |
| r≊ b. p₁o₁k₁ | | -1 | | | | -20 |

(12) Exceptional non-undergoer: Stronger stem-vowel resists VD

| $k_1u_3 - o_1$ | k ₁ | *VV | MaxS | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|---------------------|--|-----|------|---------|----------------------|--------|-----|
| | | 28 | 20 | 15 | 10 | 10 | |
| r≊a. k ₁ | u ₃ o ₁ k ₁ | -2 | | | | | -56 |
| b. k ₁ | o1k1 | | -3 | | | | -60 |

⁽²⁾ Exceptionality for More than one Process: GSRO

(13) Exceptional trigger:

Stronger stem-vowel enforces VH even if different height

| k_1u_3 -n ₁ e ₁ k ₁ | *VV | MaxS | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|--|-----|------|---------|----------------------|--------|-----|
| | 28 | 20 | 15 | 10 | 10 | |
| a. $k_1u_3n_1e_1k_1$ | | | | | -2 | -20 |
| r≊ b. k₁u₃n₁o₁k₁ | | | -1 | | | -15 |

(14) Exceptional non-undergoer: Stronger stem-vowel resists VD

| k1u3 -01k1 | *VV 28 | MaxS 20 | Мах[вк] 15 | Sн[вк] _{ні} 10 | Sн[вк] 10 | |
|---|-----------|------------|---------------|----------------------------|--------------|-----|
| r≊a. k ₁ u ₃ 01k ₁ | -2 | | | | | -56 |
| b. k ₁ 0 ₁ k ₁ | | -3 | | | | -60 |

→ The same representation /k₁u₃/ predicts exceptional behaviour for more than one process from different gradient constraint violations

² Exceptionality for More than one Process: Empirical Picture

(15) e.g. exceptional H-realization in Molinos Mixtec (cf. below) (Hunter and Pike, 1969; Zimmermann, 2018b)

| | is realized | triggers spreading | undergoes spreading |
|-----------|-------------|-----------------------|------------------------|
| H_1 | Y | Y | Y |
| $H_{0.8}$ | 0 | N | Y |

(16) e.g. exceptional vowel harmony in Yucatec Mayan (Krämer, 2001)

| | undergoes full V-hamony | undergoes optional deletion |
|-----------|-------------------------------|-----------------------------------|
| V1 | N | Ν |
| $V_{0.5}$ | Y | Y |

→ one threshold for two processes

③ Degrees of Exceptionality

 true gradience of activity=multiple thresholds for 'exceptional' behaviour within the same language for the same phonological element

^③ Degrees of Exceptionality: A new toy example

Lg3 without backness harmony pok-el \rightarrow pokel pok-im \rightarrow mutel

Exceptional trigger I

| tom –el | ightarrow tomol, *tomel |
|---------|-------------------------|
| tom –im | ightarrow tomim, *tomum |

Triggers parasitic VH Does not trigger non-parasitic VH

Exceptional trigger II

sop −el → sopol, *sopel sop −im → sopul, *supim Triggers parasitic VH Triggers non-parasitic VH

No parasitic VH

No non-parasitic VH

③ Degrees of Exceptionality: GSRO

(17) 'Regular': No VH if diff. height

| $p_1o_1k_1-i_1m_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|----------------------|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| r≊a. p₁o₁k₁i₁m₁ | | | -1 | -10 |
| b. $p_1o_1k_1u_1m_1$ | -1 | | | -25 |

(18) 'Regular': No VH if same height

| $p_1o_1k_1-e_1l_1$ | Мах[вк] | Sн[вк] _{ні} | Ѕн[вк] | |
|--------------------------|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| r≊ a. p101k1e1l1 | | -1 | -1 | -20 |
| b. $p_1 o_1 k_1 o_1 l_1$ | -1 | | | -25 |

③ Degrees of Exceptionality: GSRO

(19) Exceptional trigger I: No VH if diff. height

| $\frac{t_1o_3m_1}{t_1o_3m_1}$ – i_1m_1 | Мах[вк] | Sh[вк] _{ні} | Sн[вк] | |
|--|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| r≊ a. t ₁ o ₃ m ₁ i ₁ m ₁ | | | -2 | -20 |
| b. $t_1 o_3 m_1 u_1 m_1$ | -1 | | | -25 |

(20) Exceptional trigger I: VH if same height

| $\frac{t_1o_3m_1}{t_1o_3m_1}-e_1l_1$ | Мах[вк] | Sн[вк] _{ні} | Ѕн[вк] | |
|--------------------------------------|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| a. $t_1o_3m_1e_1l_1$ | | -2 | -2 | -40 |
| IS b. t₁o₃m₁o₁l₁ | -1 | | | -25 |

③ Degrees of Exceptionality: GSRO

(21) Exceptional trigger II: VH if diff. height

| $s_1 o_5 p_1 - i_1 m_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|--|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| a. $s_1 o_5 p_1 i_1 m_1$ | | | -3 | -30 |
| r≊ b. s ₁ o ₅ p ₁ u ₁ m ₁ | -1 | | | -25 |

(22) Exceptional trigger II: VH if same height

| $s_1o_5p_1 - e_1I_1$ | Мах[вк] | Sh[вк] _{ні} | Ѕн[вк] | |
|---------------------------------------|---------|----------------------|--------|-----|
| | 25 | 10 | 10 | |
| a. $s_1o_5p_1e_1I_1$ | | -3 | -3 | -60 |
| $\blacksquare b. s_1 o_5 p_1 o_1 l_1$ | -1 | | | -25 |

³ Degrees of Exceptionality: Empirical picture

(23) e.g. exceptional /ai/-repair in Finnish (cf. below) (Anttila, 2002; Pater, 2006)

| | is deleted #_i3 | assimilates #_i3 |
|------------------|--------------------|---------------------|
| a ₁ | Y | Ν |
| a _{0.8} | 0 | 0 |
| a _{0.6} | N | Y |

→ two thresholds for different phonological behaviour for the same phonological element within a language

④ Implicational Relations

 if all exceptionality results from differences in activity of phonological elements, not all imaginable combinations of exceptionality patterns in a language are possible: Certain exceptionality patterns imply each other

Thresholds for Exceptionality

(24)

| E_{1+x+y} | → Exceptional Behaviour X+Y | |
|--------------------|-----------------------------|--|
| | Stronger: Threshold 2 | |
| E_{1+x} | → Exceptional Behaviour X | |
| | Stronger: Threshold 1 | |
| E ₁ | → 'Normal' Behaviour | |
| | Weaker: Threshold 1 | |
| E _{1-v} | → Exceptional Behaviour V | |
| | Weaker: Threshold 1 | |
| E _{1-v-w} | → Exceptional Behaviour W | |

Implicational Relations: GSRO and exceptionality patterns

- (25) Implicational restriction on exceptionality patterns If a language L has
 - a phonological element of (a) morpheme(s) that shows behavior₁ for process P1 and behavior₂ for process P2
 - and (a) morpheme(s) where the same phonological element shows behavior₃ for process P1 and behavior₄ for process P2
 - there cannot be (a) morpheme(s) where the same phonological element shows behavior₁ for process P1 and behavior₄ for process P2
- (26) Example: Excluded pattern with multiple self-reversing thresholds

| | P1 | P2 |
|------------------|----|----|
| X _{1+X} | Y | N |
| X ₁ | N | Y |
| X _{1-X} | Y | Y |

Implicational Relations: Yet Another Toy Example

Language 4 with parasitic VH and hiatus avoidance

| po-nek | ightarrow ponok | VH if same height |
|--------|-----------------|---------------------------|
| pu-nek | ightarrow punek | No VH if different height |
| pu–ok | ightarrow pok | Deletion of first V |

1. Exceptional trigger for VH

ku −nek → kunok, *kunek

VH if different height

2. Exceptional non-undergoer of VD and trigger for VH

| pu –ok | ightarrow puok, *pok | No V-deletion to avoid hiatus |
|---------|-------------------------|-------------------------------|
| pu –nek | ightarrow punok, *punek | VH if different height |

3. Exceptional non-undergoer of VD

| tu –ok | ightarrow tuok, *tok |
|---------|-----------------------------|
| tu –nek | \rightarrow tunek, *tunok |

Deletion of first V No VH if different height

Language 4 is Impossible in GSRO

| (27) | Normal: V with activity 1 | | |
|------|---------------------------|--|------------------------------|
| | a. b. | Мах[вк] > Sh[вк] *Hiat > MaxS | No non-parasitic VH VD |
| (28) | Exc | ceptional 1: V with activity X | |
| | a. b. | X×Sh[bk] > Max[bk] *Hiat > X×MaxS | Non-parasitic VH VD |
| (29) | Exc | ceptional 2: V with activity Y | |
| | a. b. | $\begin{array}{l} Y \times Sh[bk] > Max[bk] \\ Y \times MaxS > {}^*Hiat \end{array}$ | Non-parasitic VH No VD |
| (30) | *Exc | ceptional 3: V with activity Z | |
| | a. b. | Max[bk] > Z 	imes Sh[bk] Z 	imes MaxS > *Hiat | No non-parasitic VH No VD |

→ Weighting paradox (Z < X and Z > X; (28) vs. (30))
Implicational Relations: The Empirical Picture



(34) Lexical accent competition in Moses Columbian Salish (Czaykowska-Higgins, 1985, 1993*a*,*b*, 2011; Willett, 2003; Zimmermann, 2018*d*)

| | deleted if Ψ>0.9 present | deleted if Ψ>0.8 present | deleted if ♀>0.6 present | deleted if ♀>0.4 present |
|------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
| φ1 | N | N | Ν | Ν |
| φ0.9 | N | Ν | Ν | Y |
| φ0.8 | N | Ν | Y | Y |
| φ0.6 | N | Y | Y | Y |
| φ0.4 | Y | Y | Y | Y |

multiple thresholds that are never self-reversing

(4) Implicational Relations: The Important Details

the implicational restriction crucially only holds for the same phonological elements

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 (35) An apparent counterexample:
 Self-reversing thresholds in Yucatec Mayan vowels? (Krämer, 2001)

| | undergoes full VH | optionally deletes | undergoes backness dissimimi- lation | undergoes height dissimimi- lation |
|--------------------------|----------------------|-----------------------|---|---|
| V in most suffixes | N | Ν | Ν | Ν |
| V in some suffixes | Y | Y | N | Ν |
| V in some other suffixes | N | Ν | Y | Ν |
| V in one suffix | Ν | Ν | Ν | Y |

Implicational Relations: The Important Details

- but the relevant constraints in Yucatec Mayan do not all refer to vowels, they in fact refer to three different phonological elements
- (36) GSRO account of Yucatec Mayan



Case studies

Case studies

Two Case studies illustrating the four predictions

(37)

| | 1 1 4 | types | | | ² Exc. for more | ③ Degrees of | ④ No self-reversing |
|------------|-------|-------|---|----|----------------------------|----------------|---------------------|
| | UG | −UG | Т | ¬Τ | than 1 process | exceptionality | thresholds |
| Molinos M. | | 1 | | 1 | 1 | | ✓ |
| Finnish | 1 | | 1 | | | 1 | 5 |

Exceptional H-tones in San Pedro Molinos Mixtec

Exceptional Non-Triggers in San Pedro Molinos Mixtec

some morphemes are exceptional (optional) non-triggers of H-perturbation and exceptional non-trigger of H-spreading

→ prediction ② exceptionality for more than one process

Background: Tones in San Pedro Molinos Mixtec (=MOL)

- all the data in the following comes from Hunter and Pike (1969)
 variety closely related to San Miguel el Grande Mixtec (Cf. Pike (1944); Mak (1950);
 Hollenbach (2003); McKendry (2013); theoretical accounts in Goldsmith (1990); Tranel (1995); Zimmermann (2018*a*))
- ∞ three level tones high (H; \dot{a}), mid (M; \bar{a}), and low (L; \dot{a})

(38) Tonal contrasts in MOL (Hunter and Pike, 1969, 27) tātá-sá tūtā-sá tūtù-sá 'my father' 'my firewood' 'my paper'
?ùù ríkī ?ùù kītī ?ùù híī 'two woodpeckers' 'two animals' 'two fists'

Process 1: H-Perturbation

 some morphemes trigger an additional H that overwrites underlying M or L of the initial TBU of a following morpheme (the 'perturbing' morphemes found in basically all Otomanguean languages (Dürr, 1987; Pike, 1944; Mak, 1950; Hollenbach, 2003; McKendry, 2013))

(39) H-overwriting

 $XX^{H}XX \rightarrow XX HX$

Process 1: H-Perturbation

| | | | | (Hunter and Pike, 1969, 35-36) |
|-----|-------------------|--------------------|--------------------------|---|
| | M1 | M2 | Surface | Tones |
| No | n-perturb | ing morph | emes | |
| a. | ?ù∫ì | rīŋkī | ?ù∫ì rīŋkī | LL MM→LL MM |
| | 'ten' | 'mouse' | 'ten mice' | |
| b. | ? <u>11</u> | sùt∫ī ^H | ?∏ sùt∫ī | MM+LM ^H →MM LM |
| | 'one' | 'child' | 'one child' | |
| Per | turbing m | orphemes | ' | |
| c. | kùù ^H | tfìká | kùù ∯í ká | ILL ^H LH→LL <mark>H</mark> H |
| | 'four' | 'baskets' | 'four baskets' | |
| d. | 3ā?ā ^Ħ | ʒìʧí | ʒā?ā ʒ <mark>í</mark> ʧí | MM ^H LH→MM HH |
| | 'chiles' | 'dry' | 'dry chiles' | |
| e. | síví ^H | tèē | síví t <mark>é</mark> ē | HH ^H LM→HH H M |
| | 'name' | 'man' | 'name of the man' | |
| f. | kītī ^H | kūù | kītī k ú ù | MM ^H ML→MM HL |
| | 'animal' | 'to die' | 'the animal will die' | |

Process 2: H-Spreading after Perturbation

- if a perturbing morpheme precedes a morpheme that ends in an M-toned TBU and is also perturbing, both TBU's of this morpheme become high
- (41) H-overwriting and spreading $XX^H XM^H \rightarrow XX HH$

Process 2: H-Spreading after Perturbation

| MI M2 Surface Iones | |
|---|-------|
| H-overwriting and spreading | |
| a. síví ^H sùtjī ^H síví s <mark>út</mark> jí HH ^H +LM ^H →HF | HH |
| 'name' 'child' 'name of the child' | |
| b. síví ^H kītī ^H síví k <mark>í</mark> tí HH ^H +MM ^H →H | H HH |
| 'name' 'animal' 'name of the animal' | |
| c. kītī ^H kāā ^H kītī k <mark>áá</mark> MM ^H +MM ^H →N | лм нн |
| 'animal' 'to eat' 'the animal will eat' | |
| No spreading if M2 is not M-final | |
| d. kùù ^H ʒòò ^H kùù ʒóò ∥LL ^H +LL ^H →LL H | L |
| 'four' 'mont(H) 'four months' | |
| No spreading if M2 has no floating H | |
| e. síví ^H tèē síví t <mark>é</mark> ē ∥HH ^H +LM→HH | HM |
| 'name' 'man' 'name of the man' | |

Optionally Perturbing Morphemes as Exceptions

 \mathcal{L} there are three classes of morphemes in MOL:

- 1. non-perturbing ones: XX
- 2. perturbing ones: **XX**^H
 - trigger H-perturbation
 - trigger H-spreading if they end in an M
- 3. optionally perturbing ones: XX^(H)
 - only optionally trigger H-perturbation
 - never trigger H-spreading if they end in an M
 - not optional variation between behaving as morpheme type 1 and 2 but mixture of properties

Optionally Perturbing Morphemes: 1. Optional H-Perturbation

(43)

(Hunter and Pike, 1969, 35-36)

| | M1 | M2 | Surface | Tones |
|----|--|------------------|---|--------------------------------|
| a. | <mark>hìkī^(H)</mark> 'fist, paw' | tèē 'man' | hìkī t <mark>é</mark> ē∼tèē 'the man's fist' | LM ^(H) +LM→LM HM~LM |
| b. | <mark>hìkī^(H)</mark> 'fist, paw' | ∯į̂?į 'skunk' | hìkī ʧ <mark>í</mark> ʔīॄ~ţĨìʔīį 'the skunk's paw' | LM ^(H) +LM→LM HM∼LM |
| c. | ñùtī^(H) 'sand' | 3ì∜í 'dry' | ñùtī ʒíʧí~ʒìʧí 'dry sand' | LM ^(H) +LH→LM HH∼LH |

Optionally Perturbing Morphemes: 2. No Trigger for H-Spreading

| (44) | | | | (Hunter and Pike, 1969, 36) |
|----------|--|------------------------------------|---|---|
| | M1 | M2 | Surface | Tones |
| New | /er a triggei | · | | |
| a. | síví^H 'name' | <mark>∯į̂ʔī̥́(H)</mark> 'skunk' | síví ʧ <mark>í</mark> ʔīֲ 'name of the skunk' | HH ^H +LM ^(H) →HH H M |
| b. | <mark>hìkī^(H)</mark> 'fist, paw' | <mark>∯Ì҈?īָ(H)</mark> 'skunk' | hìkī ʧ <mark>i</mark> ʔīॄ~ʧ͡jʔīॄ 'the skunk's paw' | $LM^{(H)}+LM^{(H)}\rightarrow LM HM\sim LM$ |
| <i>t</i> | but always a | an underg | oer (if realized) | I |
| c. | <mark>∯į̂?į̇́(H)</mark> 'skunk' | kāā^H 'to eat' | t∫į̂?į̄ k <mark>áá</mark> ∼kāā 'the skunk will eat (it)' | $ LM^{(H)} + MM^{H} \rightarrow LM HH \sim MM$ |
| d. | <mark>hìkī^(H)</mark> 'fist' | sùt∫ī ^H 'child' | hìkī s ú ∯í∼sù∯ī 'the child's fist' | LM ^(H) +LM ^H →LM HH∼LM |

GSRO Account: Representational Assumption

- Some morphemes in MOL end in an unassociated (=floating)
 H-tone
- \mathbf{E} The floating H of some morphemes is **fully active**: H₁
- \mathbf{E} The floating H of other morphemes is **partially active**: H_{0.4}
 - the weakly active H_{0.4} is not a bad enough problem for *FLOAT and is not always associated
 - the weakly active H_{0.4} is not a bad enough problem for the markedness constraint *[MH] triggering H-spreading

Additional Assumption: Variation and MaxEnt

locality is modeled with MaxEnt

(Johnson, 2002; Goldwater and Johnson, 2003; Wilson, 2006)

- → both cases studies happen to involve optional variation but this optionality is in principle orthogonal to the assumption of gradient activity!
- all exemplary weights given are calculated by the UCLA Maxent Grammar Tool (Hayes, 2009)

GSRO Account: Constraints (Yip, 2002)

(45) a. *Float

Assign -X violation for every tone T_1 that is not associated to a TBU where X is the activity of T_1 .

b. MaxT

Assign -X violation for any tonal activity X in the input that is not present in the output.

c. *Cont

Assign -X violation for every TBU_1 associated to tones T_2 and T_3 where X is the shared activity of TBU_1 , T_2 , and T_3 .

d. Spec

Assign -1-X violations for every TBU τ_1 where X is the activity of tone(s) associated to τ_1 .

H-Perturbation: Realization of H₁

MOL: Fully active H1 is realized: Maxent probabilities

(47)





H-Perturbation: Optional Realization of H_{0.4}

(48)

| $\begin{bmatrix} L_1 & MH_{0.4} \\ d_1 & d_1 \end{bmatrix} \begin{bmatrix} L_1 & M_1 \\ d_1 & d_1 \end{bmatrix}$ | HXW 100 | *Cont *001 | теоат 1 *Float | LXVW 24 | J SPEC | |
|--|------------|---------------|-------------------|------------|--------|-------|
| $\mathbb{I} = a. \qquad \begin{array}{c} L_1 & M \\ \downarrow \\ \sigma_1 & \sigma_1 \end{array} \begin{array}{c} H_{0,4} \\ \downarrow \\ \sigma_1 & \sigma_1 \end{array} \begin{array}{c} L_1 & M_1 \\ \downarrow \\ \sigma_1 & \sigma_1 \end{array}$ | | | -0.4 | | | -28.4 |
| $\mathbb{I} = b. \begin{array}{c} L_1 & M_1 \\ \downarrow \\ \sigma_1 & \sigma_1 \end{array} \begin{array}{c} H_{0,4} & M_1 \\ \downarrow \\ \sigma_1 & \sigma_1 \end{array}$ | | | | -1 | -0.6 | -28.2 |

 $0.4{\times}^{*}\text{Float} \sim MaxT + 0.6{\times}\text{Spec}$

MOL: H-Perturbation: Optional Realization of H_{0.4}: MaxEnt

(49)





H-Spreading is Avoidance of a Marked Tone Sequence

- triggered by a markedness constraint against sequences of MH-tones inside a morpheme (and only spreading of floating H is a possible repair)
- (50) *[MH]

Assign -X violation for every morpheme-internal sequence of M_1 and H_2 where X is the shared activity of M_1 and H_2 .

H-Spreading Triggered by H₁

(51)

| $\begin{bmatrix} H_1 & H_1 \\ H_1 & H_1 \\ 0_1 & 0_1 \end{bmatrix} \begin{bmatrix} M_1 & M_1 \\ H_1 \\ 0_1 & 0_1 \end{bmatrix}$ | МахН | * FLOAT | *[MH] | МАХТ | |
|---|------|---------|-------|------|------|
| | 100 | 71 | 28 | 24 | |
| a. $\begin{array}{cccc} H_1 & H_1 & H_1 & M_1 & H_1 \\ \hline a_1 & & & & \\ \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 \end{array}$ | | -1 | -1 | -1 | -123 |
| $\blacksquare b. \begin{array}{cccc} H_1 & H_1 & H_1 & H_1 \\ & & & \\ & & & \\ & \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 \end{array}$ | | -1 | | -2 | -119 |

H-Spreading Triggered by H₁: Probabilities

(52)



No H-Spreading Triggered by Partially Active H_{0.4}

(53)

| $ \begin{array}{c} $ | MaxH | * Float | [MH]* | MAXT | |
|---|------|---------|-------|------|-------|
| | 100 | 71 | 28 | 24 | |
| $\blacksquare a. \qquad \begin{matrix} H_1 & M_1 \\ \downarrow \\ \sigma_1 & \sigma_1 \end{matrix} H_{0.4}$ | | -0.4 | -0.7 | -1 | -72 |
| b. H_1 $H_{0.4}$ | | -0.4 | | -2 | -76,4 |

No H-Spreading Triggered by Partially Active H_{0.4}: Probabilities

(54)



Prediction 2: Exceptionality for Multiple Processes

- the assumption of a partially active H_{0.4} predicts the two exceptional behaviours from gradient constraint violations
- MaxEnt correctly predicts that the gradient activity results in both variable and categorical exceptionality

Exceptional optional trigger for H-perturbation

| (55) | Fully active H_1 | (56) | Partially active H _{0.4} |
|------|--------------------|------|---|
| | * Float > MaxT | 0.4 | $	imes$ *Float \sim MaxT + 0.6 $	imes$ Spec |

Exceptional non-trigger for H-spreading

Prediction 4: Implicational Relations in MOL

- 🗞 two additional exceptional morpheme(s) (classes) 2+4 are possible
- الالا الح a constraint and the second and the seco

(59)

| | | | HP | HS | WA: HP | WA:HS |
|------|---|----------------|-----|-----|---|------------------------------|
| ☞ 1 | | H ₁ | ~ | ~ | *Float > MaxT | *[MH] > MaxT |
| 2 | • | $H_{0.6}$ | ~ | (🗸) | $0.6 \times *$ Float > MaxT + $0.4 \times$ Spec | $0.6 	imes * [MH] \sim MaxT$ |
| IS 3 | | $H_{0.4}$ | (🖌) | × | $0.4	imes$ *Float \sim MaxT + $0.6	imes$ Spec | $MaxT > 0.4 \times *[MH]$ |
| 4 | • | $H_{0.2}$ | × | × | MaxT + $0.8 	imes Spec$ $> 0.2 	imes *Float$ | $MaxT > 0.2 \times *[MH]$ |
| * 5 | | $H_{?}$ | × | ~ | $MaxT + (1-?) \times Spec > ? \times *Float$ | $? \times *[MH] > MaxT$ |

HP=trigger for H-perturbation ✓=yes

Exceptional vowels in Finnish

Exceptional Triggers and Undergoers: Finnish (Anttila, 2002; Pater, 2006)

- 🗞 exceptional repair for heteromorphemic /ai/ sequences
- type of repair (assimilation, deletion, or variation between both) is morpheme-specific
 - → prediction ③ degrees of exceptionality

Exceptional Triggers: Vowel Assimilation to Avoid /ai/ (Anttila, 2002)

certain /i/-initial suffixes (PL/PST) trigger raising of a preceding /a/
 others (e.g. Cond) don't (60-b)

(60)

| | underlying | surface | | |
|----|----------------|-------------|-----------------------|--------------------|
| a. | pala-i | paloi | 'burn'–Рsт | p.4 |
| | tavara-i-ssa | tavaroissa | 'thing'-PL-INE | p.5 |
| | kana-i-ssa | kanoissa | 'hen'-PL-INE | p.4 |
| | kihara-i-ssa | kiharoissa | 'curl'-Pl-Ine | p.13 |
| | korea-i-ssa | koreoissa | 'Korea'-Pl-Ine | p.13 |
| | kahvi-la-i-ssa | kahviloissa | 'cafe'-РL-Ine | p.5 |
| | kana-la-i-ssa | kanaloissa | 'chicken shed'-PL-INE | p.5 |
| b. | anta-isi | antaisi | ʻgive'-Cond | (Pater, 2010, 133) |

Exceptional Triggers: Vowel Deletion to Avoid /ai/ (Anttila, 2002)

for certain morphemes, the exceptional triggers result in deletion of a preceding /a/

(61)

| underlying | surface | | |
|----------------|------------|----------------------|------|
| otta-i | otti | 'take'-Рsт | p.4 |
| jumala–i–ssa | jumalissa | 'God'-Pl-Ine | p.5 |
| suola-i-ssa | suolissa | ʻsalt'-Pl-Ine | p.6 |
| kihara-i-ssa | kiharissa | 'curly'-Pl-Ine | p.13 |
| korea-i-ssa | koreissa | 'beautiful'-PL-INE | p.13 |
| tutki-va-i-ssa | tutkivissa | 'researching'-PL-INE | p.5 |
| anta-va-i-ssa | antavissa | ʻgiving'–PL–Ine | p.5 |

Exceptional Triggers: Alternation between Assimilation and Deletion

So for yet other morphemes, the exceptional triggers result in variation between deletion and assimilation

(62)

| | underlying | surface | |
|-------------|---------------------------|-----------------|-----|
| itara-i-ssa | itaroissa \sim itarissa | 'stingy'-PL-Ine | p.5 |
| taitta-i | taittoi \sim taitti | 'break'-Рsт | p.6 |
| omena-i-ssa | omenoissa \sim omenissa | ʻapple'-PL-Ine | р.9 |

Summary: Exceptional Triggers and Undergoers

- \sim there are two 'classes' of (/i/-initial) suffixes:
 - NT no repair for /ai/-sequences
 - T repair for /ai/-sequences
- ∞ there are three 'classes' of (/a/-final) morphemes:
 - A assimilation before T-suffix
 - D deletion before T-suffix
 - AD assimilation/deletion before T-suffix

(63)

| a#-morphemes | outcome | #i-morphemes |
|--------------|-------------|--------------|
| A | | |
| AD | ai | NT |
| D | | |
| A | oi | |
| AD | oi \sim i | Т |
| D | i | |

Caution: Only Half the Story

logical regularities/tendencies:

- deletion is more likely after a round vowel
- deletion is more likely after a labial consonant
- phonological generalizations apply exceptionless in underived bisyllabic stems
- → **Dissimilation** effects: deletion avoids two high/labial sounds
- 🗞 N's typically assimilate, A's typically delete
GSRO Account in a Nutshell

T vs. NT suffixes

- default activity /i1/ doesn't induce enough violation of *ai to trigger repair
- higher activity /i₃/ results in threshold-crossing violation of *ai that triggers repair

D vs. A vs. AD

- $\boldsymbol{\mathfrak{F}}$ default activity /a1/ results in assimilation
- \clubsuit lower activity $/a_{0.6}/$ results in deletion: weak segment wants to be avoided
- ∞ intermediate activity $/a_{0.8}/$ shows variable behaviour

GSRO Account in a Nutshell

(64)

| a# | surface | #i |
|-------------------------|----------------------------------|-----------------------|
| A: /a ₁ / | $[a_1i_1]$ | |
| AD: /a _{0.8} / | $[a_{0.8}i_1]$ | NT: /i ₁ / |
| D: /a _{0.6} / | $[a_{0.6}i_1]$ | |
| A: /a ₁ / | [o ₁ i ₃] | |
| AD: /a _{0.8} / | $[o_{0.8}i_3] \sim [i_3]$ | T: /i ₃ / |
| D: /a _{0.6} / | [i ₃] | |

GSRO Account: Constraints

(65)

a. *ai
 Assign -X violations for every [i]_X with activity X immediately preceded by an [a].

- MAX[LW]
 Assign -X violations for every activity X of [+low] that is present in the input but not the output.
- c. Max[hi]

Assign -X violations for every activity X of [+high] that is present in the input but not the output.

GSRO Account: Constraints

- (66) a. *WEAK Assign -1-X violations for every phonological element with activity X<1.</p>
 - b. *Strong

Assign -X-1 violations for every phonological element with activity X>1.

Avant: Segments Keep Their Underlying Activity in the Output

(67)

| $t_{1}a_{0.6}$ | | DepS | *Wеак | |
|----------------|-----------------------------|------|-------|-----|
| 1 0.0 | | 100 | 41 | |
| rs a. t | 1a _{0.6} | | -0.4 | -16 |
| b. t | ₁ a ₁ | -0.4 | | -40 |

(68)

| t_1a_3 | | MaxV 10 | *Strong 1 | |
|----------|-------------------------------|------------|--------------|-----|
| I® a. | t_1a_3 | | -2 | -2 |
| b. | t ₁ a ₁ | -2 | | -20 |

Non-Triggering Suffix and $/a_1/$

$\boldsymbol{\mathfrak{F}}$ a -1 violation of *ai is not important enough to trigger a repair

(69)

| a1 i1 | | Max[hi] | *Wеак | Max[lw] | *ai | MaxV | |
|-------|----------------|---------|-------|---------|-----|------|------|
| | | 100 | 41 | 37 | 16 | 10 | |
| I® a. | $a_1 i_1$ | | | | -1 | | -16 |
| b. | 01 i1 | | | -1 | | | -37 |
| с. | i ₁ | | | -1 | | -1 | -47 |
| d. | $a_1 e_1$ | -1 | | | | | -100 |
| e. | a ₁ | -1 | | | | -1 | -110 |

Triggering Suffix and $/a_1/$

- \clubsuit the violation of *ai caused by a more active $/i_{3}/$ crosses the threshold for triggering a repair
- $\boldsymbol{\mathfrak{F}}$ assimilation is optimal since V-deletion implies a superset of violations

(70)

| a ₁ i ₃ | | *₩еак | Max[lw] | *ai | MaxV | |
|-------------------------------|----------------|-------|---------|-----|------|-----|
| | | 41 | 37 | 16 | 10 | |
| a. | $a_1 i_3$ | | | -3 | | -48 |
| r☞ b. | 01 i3 | | -1 | | | -37 |
| с. | i ₁ | | -1 | | -1 | -47 |

Triggering Suffix and $/a_{0.6}/$

(71)

| a _{0.6} i ₃ | *Wеак | Max[lw] | *ai | MaxV | |
|------------------------------------|-------|---------|-----|------|-------|
| | 41 | 37 | 16 | 10 | |
| a. a _{0.6} i ₃ | -0.4 | | -3 | | -64.4 |
| b. o _{0.6} i ₃ | -0.4 | -1 | | | -53.4 |
| r≊ c. i _{0.6} | | -1 | | -0.6 | -43 |

Non-Triggering Suffix and $/a_{0.6}/$

no misprediction for weak segments outside of T-suffix-contexts: marked structure of a weak V is tolerated

(72)

| a _{0.6} i ₁ | *Weak | Max[lw] | *ai | MaxV | |
|---------------------------------------|-------|---------|-----|------|-------|
| | 41 | 37 | 16 | 10 | |
| r≊ a. a _{0.6} i ₁ | -0.4 | | -1 | | -32.4 |
| b. o _{0.6} i ₁ | -0.4 | -1 | | | -53.4 |
| c. i ₁ | | -1 | | -0.6 | -43 |

Triggering Suffix and $/a_{0.8}/$

V with a weak activity between those repairs: Optionality between both options*

(73)

| a _{0.8} i ₃ | | *₩еак | Max[lw] | *ai | MaxV | | |
|---------------------------------|---------------------------------|-------|---------|-----|------|-------|-----------------------|
| | | 41 | 37 | 16 | 10 | | Probability |
| a. | a _{0.8} i ₃ | -0.2 | | -3 | | -56.2 | 2.5782981684922935E-6 |
| r⊠ b. | o _{0.8} i ₃ | -0.2 | -1 | | | -45.2 | 0.5000118759256124 |
| [™] C. | i ₃ | | -1 | | -0.8 | -45 | 0.4999830712776138 |

 $0.2 \; x \; ^* \text{Weak} \sim 0.8 \; x \; \text{MaxV}$

*Tableaux above: Winning candidate had a probability of at least 0.9999.

Recall: Phonological Regularities?

- account can easily integrate the account of the phonological conditions from Anttila (2002):
 - dissimilation effects follows from OCP constraints like OCP_{ROUND}
 - \mathscr{I} syllable-counting effect follows from domain-specific OCP_{ROUND}- ϕ
 - e.g. categorical restriction that deletion after /o/ in even-numbered stems: high-weight of OCP_{ROUND}-φ

(Lexical Factors of) Finnish Assimilation/Deletion in GSRO: Summary

Relevant activity thresholds

(74)

i₁

i3

- not enough to trigger a repair to avoid a violation of *ai
 - threshold to avoid *ai

(75)

- a₁ default repair of assimilation
- a_{0.8} variation between assimilation and deletion
- a_{0.6} deletion

(only activity differences for /a and /i were considered: activity differences for other vowels have no interesting effect (at least not for *ai)

Alternative Accounts of Exceptionality

Lexically Indexed Constraints

(e.g. Ito and Mester, 1990; Golston and Wiese, 1996; Fukazawa, 1999; Pater, 2000; Pater and Coetzee, 2005; Pater, 2006; Flack, 2007; Pater, 2010)

- constraints can exist in versions indexed to (classes of) morphemes that are only violated if the scope of the violation contains material of an indexed morpheme (Pater, 2010)
- (76) Exceptional triggers and lexically indexed constraints The exceptional triggers are indexed to a higher-ranked markedness constraint $SH[BK]_A$, $SH[BK]_{HI} \gg MAX[BK] \gg SH[BK]$
- (77) Exceptional non-undergoers and lexically indexed constraints The exceptional non-undergoers are indexed to a higher-ranked faithfulness constraint Max[BK]_R ≫ SH[BK]_{HI} ≫ Max[BK] ≫ SH[BK]

Lexically Indexed Constraints and Our Four Predictions

- 1 Unified account for (non)undergoers and (non)triggers 2
 - Exceptional non-triggers/undergoers are complement set of exceptional triggers/non-undergoers (=all 'non-exceptional' morphemes are indexed)
- ^② Exceptionality for more than one process $\overline{\bigcirc}$
 - → Is a concidence: Morpheme (class) happens to be indexed to more than one constraint – two different explanations
- ③ Degrees of exceptionality ③
 - → Fall out from more indexed versions of the same constraint(s)
- ④ Implicational restrictions between exceptionality patterns 🙁
 - → Don't exist e.g. $MaxS_{B, C}$, $Sh[BK]_{A, B}$, $Sh[BK]_{HI} \gg Max[BK]$, $*VV \gg Sh[BK]$, MaxS



Autosegmental Defectivity

(Lieber, 1992; Stonham, 1994; Saba Kirchner, 2010; Trommer, 2011; Bermúdez-Otero, 2012; Bye and Svenonius, 2012; Trommer and Zimmermann, 2014; Zimmermann, 2017*c*)

- morphemes can be underspecified or overspecified: Floating features/moras/tones, lack of features/moras/tones,...
- (78) Exceptional undergoers and autosegmental defectivity Morphemes contain underspecified elements and need specification/escape faithfulness: e.g. vowel without [±back] feature undergoes non-parasitic harmony
- (79) Exceptional triggers and autosegmental defectivity Morphemes contain floating/unassociated features, moras, tones: e.g. morphemes with floating [±high] feature are triggers for non-parasitic vowel harmony

Autosegmental Defectivity and Our Four Predictions

- Unified account for (non)undergoers and (non)triggers (1)
- Exceptionality for more than one process 2
 - Exceptionality is a consequence from contrastive representations
- Degrees of exceptionality 🙂 3
 - → Severely limited by number of contrasting elements that can be lacking/floating
- 4 Implicational restrictions between exceptionality patterns
 - → Don't exist; different representational properties (underspecification, floating elements) can freely be combined







Comparison: Three Accounts of Exceptionality

(80)

- 1 4 patterns
- ² More than one process
- ③ Degrees of exceptionality
- ④ Implicational restrictions



- the assumption of gradient activity in the output predicts the phonological exceptions from gradient faithfulness and markedness violations
- four properties of exceptionality patterns easily fall out that are hard to capture under alternatives
- outlook: activity differences can not only be a property of underlying representations, they can be derived in the phonology (Trommer, 2018; Zimmermann, 2019*a*; Walker, 2019)

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