

# Gradience in Phonology: The Argument from Exceptions

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- 🌀 The assumption of Gradient Symbolic Representations (Smolensky and Goldrick, 2016; Rosen, 2016) that phonological elements can have different degrees of activation allows a unified explanation for the typology of **phonological exceptions**.
- 🌀 Predictions about exceptionality patterns:
  - 🌀 exceptional elements can be **exceptional for multiple processes**
  - 🌀 different **degrees of exceptionality**
  - 🌀 **implicational relations** between exceptionality classes within a language

## Exceptions: Toy Example

### A general phonological rule in Lg1: Nasalization

pok-el → pokel

pon-el → ponēl (V →  $\tilde{V}$  / [+nasal]\_\_)

#### 1. Exceptional non-undergoer

(for nasalization)

pon-et → ponet, \*ponēt

#### 3. Exceptional undergoer

(for backness harmony)

pok-ek → pokok, \*pokek

#### 2. Exceptional non-trigger

(for nasalization)

ton-el → tonel, \*tonēl

#### 4. Exceptional trigger

(for nasalization)

tok-el → tokēl, \*tokel

## Pattern 1: A Morpheme is Exceptional for More than one Process

### A general phonological rule in Lg2: Nasalization

pok-el → pokel

pon-el → ponēl (V →  $\tilde{V}$  / [+nasal]\_\_)

#### 1. Exceptional non-undergoer

(for nasalization)

pon-et → ponet, \*ponēt

#### 3. Exceptional undergoer

(for backness harmony)

pok-et → pokot, \*poket

#### 2. Exceptional non-trigger

(for nasalization)

ton-el → tonel, \*tonēl

#### 4. Exceptional trigger

(for nasalization)

tok-el → tokēl, \*tokel

## Pattern 2: Different Degrees of Exceptionality

### Lg3 without backness harmony

pok-el → pokel

#### Exceptional **undergoer I**

(for backness harmony)

pok-**et** → pokot, \*poket

put-**et** → putot, \*putet

#### Exceptional **undergoer II**

(for backness harmony, parasitic on height)

pok-**em** → pokom, \*pokem





put-**em** → putem, \*putom

1. Gradient Symbolic Representations: Assumptions
2. Exceptionality for More than one Process
3. Different Degrees of Exceptionality
4. Implicational Relations between Exceptionality Classes
5. Discussion

# Gradient Symbolic Representations: Assumptions

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## Gradient Symbolic Representation (=GSR)

-  All linguistic symbols have **activity** that can **gradiently** differ with 1=fully active. (Smolensky and Goldrick, 2016; Rosen, 2016)
-  Any change in activity is a faithfulness violation – different activities result in **gradient violations of faithfulness**.
-  Elements can be weakly active in the output and thus violate **markedness gradiently**. (Zimmermann, 2017*a,b*; Faust and Smolensky, 2017; Jang, 2019; Walker, 2019)
-  Grammatical computation modeled inside **Harmonic Grammar** where constraints are weighted. (Legendre et al., 1990; Potts et al., 2010)



## GSR: Gradient Constraint Violations

(Cf. Walker (2019) for potential problems and scaling factors as an alternative)

### Weakly active segments:

- ☞ they are **easier to delete** than ‘normal’ segments  
(=MAXS violated to a lesser degree)
- ☞ it is **costly to realize** them  
(=activity inserted (1-a) or weak activity in the output (1-b+c))
- ☞ they **violate/satisfy** markedness constraints to a lesser degree

### (1) Gradient Activity=gradient constraint violations

$b_1a_1t_1-p_{0.5}$	FULL!	MAXS	DEPS	*CC	
	10	10	10	10	
a. $b_1a_1t_1p_1$			<b>-0.5</b>	<b>-1</b>	-15
b. $b_1a_1t_1p_{0.5}$	<b>-0.5</b>			<b>-0.75</b>	-12.5
c. $b_1a_1p_{0.5}$	-0.5	<b>-1</b>			-15
☞ d. $b_1a_1t_1$		<b>-0.5</b>			-5

Only fully active S

Faithful realization of weak S

Deletion of fully active S

Deletion of weakly active S

### (2) FULL!: Assign violation 1-X for every output element with activity X.

## Exceptionality for *More than one Process*

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## Background: Tones in San Pedro Molinos (=MOL)

- 🌀 all the data in the following comes from Hunter and Pike (1969) variety closely related to San Miguel el Grande Mixtec (Cf. Pike (1944); Mak (1950); Hollenbach (2003); McKendry (2013); theoretical accounts in Goldsmith (1990); Tranel (1995); Zimmermann (2018a))
- 🌀 three level tones high (H; á), mid (M; ā), and low (L; à)

### (3) *Tonal contrasts in MOL (Hunter and Pike, 1969, 27)*

tā́tá-sá	tūtā́-sá	tūtù-sá
'my father'	'my firewood'	'my paper'
ʔùù ríkī	ʔùù kītī	ʔùù hí
'two woodpeckers'	'two animals'	'two fists'

## Process 1: H-Perturbation

- some morphemes trigger an **additional H** that overwrites underlying M or L of the initial TBU of a following morpheme (the ‘perturbing’ morphemes found in basically all Otomanguean languages (Dürr, 1987; Pike, 1944; Mak, 1950; Hollenbach, 2003; McKendry, 2013))

### (4) H-overwriting

$$XX^H XX \rightarrow XX \mathbf{H}X$$

## Process 1: H-Perturbation

(5)

(Hunter and Pike, 1969, 35-36)

	M1	M2	Surface	Tones
<i>Non-perturbing morphemes</i>				
a.	ʔùʃì 'ten'	rīŋkī 'mouse'	ʔùʃì rīŋkī 'ten mice'	LL MM → LL MM
b.	ʔū 'one'	sùʃī <sup>H</sup> 'child'	ʔū sùʃī 'one child'	MM+LM <sup>H</sup> → MM LM
<i>Perturbing morphemes</i>				
c.	kùù <sup>H</sup> 'four'	ʃíká 'baskets'	kùù ʃíká 'four baskets'	LL <sup>H</sup> LH → LL HH
d.	zāʔā <sup>H</sup> 'chiles'	zìʃí 'dry'	zāʔā zìʃí 'dry chiles'	MM <sup>H</sup> LH → MM HH
e.	síví <sup>H</sup> 'name'	tèē 'man'	síví téē 'name of the man'	HH <sup>H</sup> LM → HH HM
f.	kītī <sup>H</sup> 'animal'	kūù 'to die'	kītī kúù 'the animal will die'	MM <sup>H</sup> ML → MM HL

## Process 2: H-Spreading after Perturbation

- if a perturbing morpheme precedes a morpheme that ends in an M-toned TBU and is also perturbing, both TBU's of this morpheme become high

(6) H-overwriting and spreading

$$XX^H \quad XM^H \rightarrow XX \quad HH$$

## Process 2: H-Spreading after Perturbation

(7)

(Hunter and Pike, 1969, 35-36)

	M1	M2	Surface	Tones
<i>H-overwriting and spreading</i>				
a.	síví <sup>H</sup> 'name'	sùtʃí <sup>H</sup> 'child'	síví sùtʃí 'name of the child'	HH <sup>H</sup> +LM <sup>H</sup> →HH <b>HH</b>
b.	síví <sup>H</sup> 'name'	kītī <sup>H</sup> 'animal'	síví kītī 'name of the animal'	HH <sup>H</sup> +MM <sup>H</sup> →HH <b>HH</b>
c.	kītī <sup>H</sup> 'animal'	kāā <sup>H</sup> 'to eat'	kītī káá 'the animal will eat'	MM <sup>H</sup> +MM <sup>H</sup> →MM <b>HH</b>
<i>No spreading if M2 is not M-final</i>				
d.	kùù <sup>H</sup> 'four'	zòò <sup>H</sup> 'mont(H)'	kùù zòò 'four months'	LL <sup>H</sup> +LL <sup>H</sup> →LL <b>HL</b>
<i>No spreading if M2 has no floating H</i>				
e.	síví <sup>H</sup> 'name'	tèē 'man'	síví téē 'name of the man'	HH <sup>H</sup> +LM→HH <b>HM</b>

## Optionally Perturbing Morphemes as Exceptions

☞ there are three classes of morphemes in MOL:

1. non-perturbing ones: XX
2. perturbing ones:  $XX^H$ 
  - trigger H-perturbation
  - trigger H-spreading if they end in an M
3. **optionally perturbing** ones:  $XX^{(H)}$ 
  - only optionally trigger H-perturbation
  - never trigger H-spreading if they end in an M

➔ not optional variation between behaving as morpheme type 1 and 2 but mixture of properties



## Optionally Perturbing Morphemes: 1. Optional H-Perturbation

(8)

(Hunter and Pike, 1969, 35-36)

	M1	M2	Surface	Tones
a.	<b>hìkī</b> <sup>(H)</sup> 'fist, paw'	tèē 'man'	hìkī <b>téē</b> ~tèē 'the man's fist'	LM <sup>(H)</sup> +LM→LM <b>HM</b> ~LM
b.	<b>hìkī</b> <sup>(H)</sup> 'fist, paw'	tʃìʔī 'skunk'	hìkī tʃìʔī~tʃìʔī 'the skunk's paw'	LM <sup>(H)</sup> +LM→LM <b>HM</b> ~LM
c.	<b>ñùtī</b> <sup>(H)</sup> 'sand'	zìtʃí 'dry'	ñùtī <b>zìtʃí</b> ~zìtʃí 'dry sand'	LM <sup>(H)</sup> +LH→LM <b>HH</b> ~LH

## Optionally Perturbing Morphemes: 2. No Trigger for H-Spreading

(9)

(Hunter and Pike, 1969, 36)

M1	M2	Surface	Tones
<i>Never a trigger...</i>			
a. <b>síví<sup>H</sup></b> 'name'	<b>tʃĩʔĩ<sup>(H)</sup></b> 'skunk'	síví tʃĩʔĩ 'name of the skunk'	HH <sup>H</sup> +LM <sup>(H)</sup> →HH <b>HM</b>
b. <b>hìkī<sup>(H)</sup></b> 'fist, paw'	<b>tʃĩʔĩ<sup>(H)</sup></b> 'skunk'	hìkī tʃĩʔĩ~tʃĩʔĩ 'the skunk's paw'	LM <sup>(H)</sup> +LM <sup>(H)</sup> →LM <b>HM</b> ~LM
<i>...but always an undergoer (if realized)</i>			
c. <b>tʃĩʔĩ<sup>(H)</sup></b> 'skunk'	<b>kāā<sup>H</sup></b> 'to eat'	tʃĩʔĩ <b>káá</b> ~kāā 'the skunk will eat (it)'	LM <sup>(H)</sup> +MM <sup>H</sup> →LM <b>HH</b> ~MM
d. <b>hìkī<sup>(H)</sup></b> 'fist'	<b>sùtʃĩ<sup>H</sup></b> 'child'	hìkī <b>sútʃí</b> ~sùtʃĩ 'the child's fist'	LM <sup>(H)</sup> +LM <sup>H</sup> →LM <b>HH</b> ~LM

## GSR Account: Representational Assumption


- 🌀 Some morphemes in MOL end in an **unassociated (=floating) H-tone**
- 🌀 The floating H of some morphemes is **fully active**:  $H_1$
- 🌀 The floating H of other morphemes is **partially active**:  $H_{0.4}$ 
  - 🌀 the weakly active  $H_{0.4}$  is not a bad enough problem for \*FLOAT and is not always associated (=optionality modeled with MaxEnt (Johnson, 2002; Goldwater and Johnson, 2003; Wilson, 2006) where well-formedness is interpreted as probability; calculated with (Hayes, 2009))
  - 🌀 the weakly active  $H_{0.4}$  is not a bad enough problem for the markedness constraint \*[MH] triggering H-spreading

## Constraints

- (10)
- a. \***FLOAT**: Assign X violation for every tone  $T_1$  that is not associated to a TBU where X is the activity of  $T_1$ .
  - b. **MAXT**: Assign violation X for any tonal activity X in the input that is not present in the output.
  - c. \***CONT**: Assign X violation for every TBU<sub>1</sub> associated to tones  $T_2$  and  $T_3$  where X is the shared activity of TBU<sub>1</sub>,  $T_2$ , and  $T_3$ .
  - d. **SPEC**: Assign 1-X violations for every TBU  $\tau_1$  where X is the activity of tone(s) associated to  $\tau_1$ .

H-Perturbation: Realization of  $H_1$ 

(11)

	$\begin{bmatrix} L_1 & L_1 & H_1 \\ \sigma_1 & \sigma_1 & \end{bmatrix} \begin{bmatrix} M_1 & M_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	MAXH	*CONT	*FLOAT	MAXT	SPEC	
		100	100	71	24	8	
a.	$\begin{matrix} L_1 & L_1 & H_1 & M_1 & M_1 \\   &   & &   &   \\ \sigma_1 & \sigma_1 & & \sigma_1 & \sigma_1 \end{matrix}$			-1			-71
b.	$\begin{matrix} L_1 & L_1 & & M_1 & M_1 \\   &   & &   &   \\ \sigma_1 & \sigma_1 & & \sigma_1 & \sigma_1 \end{matrix}$	-1			-1		-124
c.	$\begin{matrix} L_1 & L_1 & H_1 & M_1 & M_1 \\   &   & &   &   \\ \sigma_1 & \sigma_1 & & \sigma_1 & \sigma_1 \end{matrix}$		-1				-100
 d.	$\begin{matrix} L_1 & L_1 & H_1 & M_1 \\   &   & &   \\ \sigma_1 & \sigma_1 & & \sigma_1 \end{matrix}$				-1		-24

\*FLOAT  $\gg$  MAXT

H-Perturbation: Optional Realization of  $H_{0.4}$ 

(12)

	$\begin{bmatrix} L_1 & MH_{0.4} \\ \sigma_1 & \sigma_1 \end{bmatrix} \begin{bmatrix} L_1 & M_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	MAXH	*CONT	*FLOAT	MAXT	SPEC	
		100	100	71	24	7	
☞ a.	$\begin{bmatrix} L_1 & M & H_{0.4} & L_1 & M_1 \\ \sigma_1 & \sigma_1 & & \sigma_1 & \sigma_1 \end{bmatrix}$			-0.4			-28.4
☞ b.	$\begin{bmatrix} L_1 & M_1 & H_{0.4} & M_1 \\ \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 \end{bmatrix}$				-1	-0.6	-28.2

$$0.4 \times *FLOAT \sim MAXT + 0.6 \times SPEC$$

## H-Spreading is Avoidance of a Marked Tone Sequence

🌀 triggered by a markedness constraint against sequences of MH-tones inside a morpheme (and only spreading of floating H is a possible repair)

- (13) \*[MH]: Assign X violation for every morpheme-internal sequence of  $M_1$  and  $H_2$  where X is the shared activity of  $M_1$  and  $H_2$ .

H-Spreading Triggered by H<sub>1</sub>

(14)

$\left[ \begin{array}{cc} H_1 & H_1 H_1 \\   &   \\ \sigma_1 & \sigma_1 \end{array} \right] \left[ \begin{array}{cc} M_1 & M_1 H_1 \\   &   \\ \sigma_1 & \sigma_1 \end{array} \right]$	MAX <sub>H</sub>	*FLOAT	*[MH]	MAX <sub>T</sub>	
a. $\begin{array}{cc} H_1 & H_1 & H_1 & M_1 & H_1 \\   &   &   &   &   \\ \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 \end{array}$		-1	-1	-1	-123
b. $\begin{array}{cc} H_1 & H_1 & H_1 & H_1 \\   &   &   &   \\ \sigma_1 & \sigma_1 & \sigma_1 & \sigma_1 \end{array}$		-1		-2	-119

\*[MH] ≫ MAX<sub>T</sub>



No H-Spreading Triggered by Partially Active H<sub>0.4</sub>

(15)

	$H_1$	$\left[ \begin{array}{cc} L_1 & M_1 \\ \sigma_1 & \sigma_1 \end{array} \right]$	$H_{0.4}$	$MAX_H$	$*_{FLOAT}$	$*[MH]$	$MAX_T$	
				100	71	28	24	
a.	$H_1$	$M_1$	$H_{0.4}$		-0.4	-0.7	-1	-72
b.	$H_1$		$H_{0.4}$		-0.4		-2	-76,4

$$MAX_T \gg 0.7 \times *[MH]$$

No H-Spreading Triggered by Partially Active  $H_{0.4}$ 

- ☞ the assumption of a partially active  $H_{0.4}$  predicts the **two exceptional behaviours** from gradient constraint violations

## Exceptional optional trigger for H-perturbation

(16) Fully active  $H_1$   
 $*\text{FLOAT} \gg \text{MAXT}$

(17) Partially active  $H_{0.4}$   
 $0.4 \times * \text{FLOAT} \sim \text{MAXT} + 0.6 \times \text{SPEC}$

## Exceptional non-trigger for H-spreading

(18) Fully active  $H_1$   
 $*[\text{MH}] \gg \text{MAXT}$

(19) Partially active  $H_{0.4}$   
 $\text{MAXT} \gg 0.7 \times *[\text{MH}]$

## Different Degrees of Exceptionality

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## Welsh Ghost Segments 1

- (20) Ghost consonant in Welsh (Hannahs and Tallerman, 2006, 798)
- a. gudag erail ‘with others’
  - b. guda gwên ‘with a smile’

Ghost segments: /gudag<sub>g</sub>/

Several morphemes surface with an unpredictable consonant only if its appearance avoids a vowel hiatus.

- ☞ Those are **appearing ghosts** that only appear to solve a problem.
- ☞ Their default state is to **not be there**.

## Welsh Ghost segments 2

(21) Welsh definite allomorphy (Hannahs and Tallerman, 2006, 782+783)

a.	<b>yr</b> afon	'the river'	yr (=əɾ) __ V
b.	<b>y</b> llyfr	'the book'	y (=ə) __ C
c.	<b>o'r</b> afon	'from the river'	/'r/ (=r) V __, overriding a.+b.
	<b>o'r</b> llyfr	'from the book'	

Ghost segments: /y<sub>ɹ</sub>r<sub>ɹ</sub>/

A single underlying form /y<sub>ɹ</sub>r<sub>ɹ</sub>/ and either one of these segments can remain unrealized if it would result in a marked structure (=coda or hiatus).

- Those are **disappearing ghosts** that disappear to avoid a problem.
- Their default state is to **be there**.

## Welsh: Ghost segments 1+2 combined

(22) Ghost segments 1+2 combined (Hannahs and Tallerman, 2006, 784)

Underlying	Surface
gydag <sub>g</sub> y <sub>r</sub> nod	gyda'r nod 'with the aim'

👉 Why not /gydag<sub>g</sub> y<sub>r</sub> nod/ without an additional coda?




→ because /g<sub>g</sub>/ is only realized to avoid hiatus, not codas


👉 Why not /gydag<sub>g</sub> y<sub>r</sub> nod/ with same additional coda?


→ because /g<sub>g</sub>/ is not realized in the default case when markedness is not decisive

## Ghosts in Welsh: Summary

(23) *Segments with different behaviour in Welsh*

	default state	non-default state due to	
		*CODA	*HIAT
<b>g</b> 	not present	no	yes
<b>y</b> 	present	–	yes
<b>r</b> 	present	yes	–
<b>n</b> <sub>1</sub>	present	no	no

 different thresholds: Is realization more costly or deletion?

 different thresholds: Is \*CODA important enough to trigger non-default-state

## Welsh Ghost Segments: GSR Account

/g<sub>1</sub>u<sub>1</sub>d<sub>1</sub>a<sub>1</sub>g<sub>0.2</sub>/ and /y<sub>0.6</sub>r<sub>0.6</sub>/

👉 /y<sub>0.6</sub>/ and /r<sub>0.6</sub>/ are realized unless their realization would create a \*CODA or \*HIAT violation

👉 /g<sub>0.2</sub>/ is not realized unless it can avoid a \*HIAT violation

If only fully active output segments are possible (high-weighted FULL!):

(24) /S<sub>0.2</sub>/ is more absent than present    (25) /S<sub>0.6</sub>/ is more present than absent

g <sub>1</sub> u <sub>1</sub> d <sub>1</sub> a <sub>1</sub> g <sub>0.2</sub>	MAXS 10	DEPS 10	
a. g <sub>1</sub> u <sub>1</sub> d <sub>1</sub> a <sub>1</sub> g <sub>1</sub>		-0.8	-8
👉 b. g <sub>1</sub> u <sub>1</sub> d <sub>1</sub> a <sub>1</sub>	-0.2		-2

0.8 × DEPS ≫ 0.2 × MAXS

y <sub>0.6</sub> r <sub>0.6</sub>	MAXS 10	DEPS 10	
👉 a. y <sub>1</sub> r <sub>1</sub>		-0.8	-8
b.	-1.2		-12

0.6 × MAXS ≫ 0.4 × DEPS



Appearing /g<sub>0.2</sub>/: Realized to Avoid a Vowel Hiatus

(26)

g <sub>1</sub> u <sub>1</sub> d <sub>1</sub> a <sub>1</sub> g <sub>0.2</sub> V <sub>1</sub> ...	MAXS 10	DEPS 10	*[CC 8	*HIAT 7	*CODA 5	
☞ a. g <sub>1</sub> u <sub>1</sub> .d <sub>1</sub> a <sub>1</sub> .g <sub>0.2</sub> V <sub>1</sub>		-0.8				-8
b. g <sub>1</sub> u <sub>1</sub> .d <sub>1</sub> a <sub>1</sub> .V <sub>1</sub>	-0.2			-1		-9

$$*HIAT + 0.2 \times MAXS \gg 0.8 \times DEPS$$

Disappearing /y<sub>0.6</sub>r<sub>0.6</sub>/: /r/ Disappears to Avoid a Coda

(27)

...V <sub>1</sub> C <sub>1</sub> <b>y<sub>0.6</sub>r<sub>0.6</sub></b> C <sub>1</sub> V <sub>1</sub> ...	MAXS 10	DEPS 10	*[CC 8	*HIAT 7	*CODA 5	
a. V <sub>1</sub> .C <sub>1</sub> <b>y<sub>1</sub>r<sub>1</sub></b> .C <sub>1</sub> V <sub>1</sub>		-0.8			-1	-13
 b. V <sub>1</sub> .C <sub>1</sub> <b>y<sub>1</sub></b> .C <sub>1</sub> V <sub>1</sub>	-0.6	-0.4				-10
c. V <sub>1</sub> C <sub>1</sub> . <b>r<sub>1</sub></b> C <sub>1</sub> V <sub>1</sub>	-0.6	-0.4	-1		-1	-23
d. V <sub>1</sub> .C <sub>1</sub> V <sub>1</sub>	-1.2					-12

\*CODA + 0.4×DEPS ≫ 0.6×MAXS

Disappearing /y<sub>0.6</sub>r<sub>0.6</sub>/: /r/ Disappears to Avoid a Hiatus

(28)

...V <sub>1</sub> y <sub>0.6</sub> r <sub>0.6</sub> V <sub>1</sub> ...	MAXS 10	DEPS 10	*[CC 8	*HIAT 7	*CODA 5	
a. V <sub>1</sub> .y <sub>1</sub> .r <sub>1</sub> V <sub>1</sub>		-0.8		-1		-15
b. V <sub>1</sub> .y <sub>1</sub> .V <sub>1</sub>	-0.6	-0.4		-2		-24
☞ c. V <sub>1</sub> .r <sub>1</sub> V <sub>1</sub>	-0.6	-0.4				-10
d. V <sub>1</sub> .V <sub>1</sub>	-1.2			-1		-19

$$*HIAT + 0.4 \times DEPS \gg 0.6 \times MAXS$$

## Combination of Appearing and Disappearing Ghosts

(29)

$g_1u_1d_1a_1$ <b><math>g_{0.2}</math></b> $y_{0.6}r_{0.6}$ $C_1V_1\dots$	RM 100	MAXS 10	DEPS 10	*[CC 8	*HIAT 7	*CODA 5	
a. $g_1u_1d_1a_1$ <b><math>g_1y_1r_1</math></b> $C_1V_1$			-1.6			-1	-21
b. $g_1u_1d_1a_1$ <b><math>y_1r_1</math></b> $C_1V_1$		-0.2	-0.8		-1	-1	-22
c. $g_1u_1d_1a_1$ <b><math>r_1</math></b> $C_1V_1$		-0.8	-0.4			-1	-17
d. $g_1u_1d_1a_1$ <b><math>g_1y_1</math></b> $C_1V_1$		-0.6	-1.2				-18

- vs. (29-d):  $/g_{0.2}/$  **never shows its non-default state to avoid codas**  
 $0.8 \times \text{DEPS} \gg * \text{CODA}$
- vs. (29-a):  $/g_{0.2}/$  is an appearing ghost and its **default state is thus to not be there**  
 $0.8 \times \text{DEPS} \gg 0.2 \times \text{MAXS}$

## Ghosts in Welsh: Summary

(30) *Segments with different behaviour in Welsh*

	default state	non-default state due to	
		*CODA	*HIAT
g <sub>0.2</sub>	not present (24)	no (29)	yes (26)
y <sub>0.6</sub>	present (25)	–	yes (29)
r <sub>0.6</sub>	present (25)	yes (27)	–
n <sub>1</sub>	present (34)	no (34)	no (34)

🐉 different thresholds: Is realization more costly or deletion?

🐉 different thresholds: Is \*CODA important enough to trigger non-default-state

# Implicational Relations between Exceptionality Classes

## GSR Prediction: Implicational Relations

(31) Impossible exceptionality pattern (from strength differences alone)

	Triggers/undergoes Process P <sub>1</sub>	Triggers/undergoes Process P <sub>2</sub>
Normal element	✓	✓
Exceptional element 1	✓	✗
Exceptional element 2	✗	✗
* Exceptional element 3	✗	✓

## Implicational Relations: Example MOL'

- 🌀 two additional exceptional morpheme(s) (classes) 2+4 are possible
- 🌀 exceptional morpheme class 5 is impossible

(32)

	HP	HS	WA: HP	WA:HS
1. H <sub>1</sub>	✓	✓	*FLOAT $\gg$ MAXT	*[MH] $\gg$ MAXT
2. H <sub>0.6</sub>	✓	(✓)	0.6 × *FLOAT $\gg$ MAXT + 0.4 × SPEC	0.6 × *[MH] $\sim$ MAXT
3. H <sub>0.4</sub>	(✓)	✗	0.4 × *FLOAT $\sim$ MAXT + 0.6 × SPEC	MAXT $\gg$ 0.4 × *[MH]
4. H <sub>0.2</sub>	✗	✗	MAXT + 0.8 × SPEC $\gg$ 0.2 × *FLOAT	MAXT $\gg$ 0.2 × *[MH]
*5. H <sub>?</sub>	✗	✓	MAXT + (1-?) × SPEC $\gg$ ? × *FLOAT	? × *[MH] $\gg$ MAXT

HP=trigger for H-perturbation

✓=yes

HS=trigger for H-spreading (if ending in M)

(✓)=optional

✗=no



# Discussion

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## Further Prediction: True gradience

🐉 no inherent restriction on gradient contrasts within a language

🐉 3 types of segments in Welsh:

/k<sub>1.0</sub>/ - /r<sub>0.6</sub>/ - /g<sub>0.2</sub>/

🐉 3 types of association lines in Oku (Trommer and Zimmermann, 2018):

/H<sub>-1.0</sub>•/ - /H<sub>-0.6</sub>•/ - /H<sub>-0.4</sub>•/

🐉 4 (derived) segment types in Levantine Arabic (Trommer, 2018a):

/i<sub>0.7</sub>/ - /i<sub>0.6</sub>/ - /i<sub>0.5</sub>/ - /i<sub>0.3</sub>/

🐉 5 types of feet in Moses Columbian Salish (Zimmermann, to appear):

/φ<sub>1.0</sub>/ - /φ<sub>0.9</sub>/ - /φ<sub>0.8</sub>/ - /φ<sub>0.6</sub>/ - /φ<sub>0.4</sub>/

🐉 vs. alternatives

🐉 most accounts based on autosegmental defectivity that only allow a binary distinction into [ $\pm$ defective] (e.g. Hyman, 1985; Noske, 1985; Kenstowicz and Rubach, 1987; Sloan, 1991; Yearley, 1995; Tranel, 1996; Zoll, 1996)

🐉 accounts that adopt ‘strength’ as a binary division (Inkelas, 2015; Vaxman, 2016a,b; Sande, 2017)

## Further Prediction: Surface activity and phonetic interpretation

- ☞ phonetic gradience in phonology:
  - ☞ subphonemic gradience in word-final devoicing, nasal place assimilation, flapping (Braver, 2013, e.g.)
  - ☞ vowel harmony is gradient; gets weaker the farther it spreads (McCollum, 2018)
  
- ➔ a convincing example would be one where phonetic gradience and exceptional phonological behaviour stemming from underlying weakness coincide







## Open Question: The source for strength

- 🌀 lexical contrast for phonological elements
- 🌀 lexical contrast for whole morphemes (Faust and Smolensky, 2017)
- 🌀 **derived in the phonology:**
  - 🌀 ‘Gradient representations can mature or decay across layers’ (Trommer, 2018a)
  - 🌀 stress strengthens elements (Faust and Smolensky, 2017; Amato, 2018; Trommer, 2018a)
  - 🌀 floating strength strengthens elements (Amato, 2018)
  - 🌀 fission is weakening/distribution of activity (Zimmermann, 2019)
  - 🌀 certain features have an inherent strength and feature change thus implies strength adjustment (Walker, 2019)

# Arguments for GSR

1. Embedded in a general **computational architecture for cognition**  
(=Gradient Symbolic Computation Smolensky and Goldrick, 2016)
2. A **unified account** for different exceptional phonological behaviours:
  - ☞ liaison consonants in French (Smolensky and Goldrick, 2016)
  - ☞ semi-regularity of voicing in Japanese Rendaku (Rosen, 2016)
  - ☞ allomorphy in Modern Hebrew (Faust and Smolensky, 2017)
  - ☞ lexical accent in Lithuanian (Kushnir, 2017)
  - ☞ tone sandhi in Oku (Nformi and Worbs, 2017)
  - ☞ tone allomorphy in San Miguel el Grande Mixtec (Zimmermann, 2017*a,b*)
  - ☞ lexical stress in Moses Columbian Salishan (Zimmermann, to appear)
  - ☞ exceptional tone (non)spreading in San Molinos Mixtec (Zimmermann, 2018*b*)
  - ☞ interaction of phonological/lexical gemination/lenition in Italian (Amato, 2018)
  - ☞ compound stress in Sino-Japanese (Rosen, 2018)
  - ☞ stress-syncope interaction in Levantine Arabic (Trommer, 2018*b*)
  - ☞ (interacting) ghost segments in Welsh (Zimmermann, 2018*c*)
  - ☞ ...

## Summary

-  the assumption of GSR with activity in the output predicts the typology of exceptions from **gradient faithfulness and markedness violations**
-  certain elements are predicted to be **exceptional for more than one process**
  -  argument against lexically indexed constraints (e.g. Alderete, 2001; Pater, 2010; Finley, 2009))
  -  argument against autosegmental defectivity accounts since gradient violations of constraints directly referring to this element are sufficient
-  different **grades of exceptionality** are predicted
-  **implicational restrictions** between exceptions are predicted

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## Welsh: Ghost segments 1+2 combined

(33) Underlying: /gydag<sub>g</sub> y<sub>r</sub> nod/ (Hannahs and Tallerman, 2006, 784)

\* Option 1: gydag y nod

☞ Option 2: gyda'r nod

ghost deleted      ghost realized      marked

‘with the aim’

### Realization of /r/ takes precedence over the other ghost segments

- ☞ one of the reasons Hannahs and Tallerman (2006) reject a phonological account of the definite allomorphy
- follows in an account based on gradient activity where segment can have different default states: /g/'s **default state is not to be there**

## Welsh: Markedness and Non-Ghosts in Welsh

- 🌀 non-ghost segments are neither deleted nor inserted to avoid \*HIAT and/or \*CODA problems

(34)

...V <sub>1</sub> a <sub>1</sub> f <sub>1</sub> o <sub>1</sub> n <sub>1</sub> C <sub>1</sub> V <sub>1</sub> ...	MAXS	DEPS	*[CC	*HIAT	*CODA	
	10	10	8	7	5	
👉 a. V <sub>1</sub> .a <sub>1</sub> .f <sub>1</sub> o <sub>1</sub> n <sub>1</sub> .C <sub>1</sub> V <sub>1</sub>				-1	-1	-12
b. V <sub>1</sub> .a <sub>1</sub> .f <sub>1</sub> o <sub>1</sub> .C <sub>1</sub> V <sub>1</sub>	-1			-1		-17
c. V <sub>1</sub> .ɨ <sub>1</sub> a <sub>1</sub> .f <sub>1</sub> o <sub>1</sub> n <sub>1</sub> .C <sub>1</sub> V <sub>1</sub>		-1			-1	-15
d. V <sub>1</sub> .ɨ <sub>1</sub> a <sub>1</sub> .f <sub>1</sub> o <sub>1</sub> .C <sub>1</sub> V <sub>1</sub>	-1	-1				-20

MAXS ≫ \*CODA/\*HIAT

DEPS ≫ \*CODA/\*HIAT

# MOL: Perturbing Morphemes: Summary

🌀 the optionally perturbing morphemes

- 🌀 only optionally trigger H-Perturbation
- 🌀 never trigger H-Spreading

		M2			
		XX	$XM^{(H)}$	$XM^H$	
M1	XX	no change	no change	no change	
	$XX^{(H)}$	no change or H-OW	no change or H-OW	no change or H-OW+Spr	⇒ Sometimes H-OW trigger
	$XX^H$	H-OW	H-OW	H-OW+Spr	⇒ Always H-OW trigger
		⇓ Never H-Spr trigger		⇓ Always H-Spr trigger	

## MOL: No repair possible for \*[MH] without a floating H

- ☞ simply deleting a tone is excluded by SPECIFY (=SPEC)
- ☞ deleting a tone and inserting one is excluded by DEPT
- ☞ spreading an underlying tone of the same morpheme is excluded by ALTERNATION
- ☞ spreading an underlyingly associated tone of a preceding morpheme is excluded by \*LONG<sub>MBOUND</sub> (35)

- (35)
- a. \*LGT<sub>M</sub>: Assign X violations for every tone T<sub>1</sub> that is associated to two TBU's τ<sub>2</sub> and τ<sub>3</sub> of different morphological affiliations where X is the shared activity of T<sub>1</sub>, τ<sub>2</sub>, and τ<sub>3</sub>.
  - b. SPEC: Assign 1-X violations for every TBU τ<sub>1</sub> where X is the activity of tone(s) associated to τ<sub>1</sub>.

## MOL: No repair possible for \*[MH] without a floating H

(36)

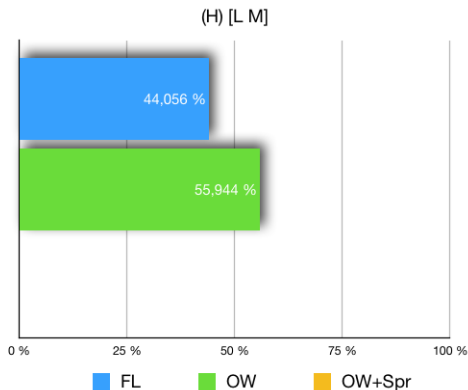
	$\begin{bmatrix} L_1 & L_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	$\begin{bmatrix} M_1 & H_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	ALT 100	*LGT <sub>M</sub> 100	DEPT 100	*[MH] 28	MAXT 24	SPEC 7	
a.	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$				-1			-28
b.	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	-1				-1		-124
c.	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$		-1			-1		-124
d.	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$			-1		-1		-124
e.	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$	$\begin{array}{c} L_1 \\ \sigma_1 \end{array}$					-1	-1	-31



# MOL: H-Perturbation: Optional Realization of H<sub>0.4</sub>: MaxEnt

(37)

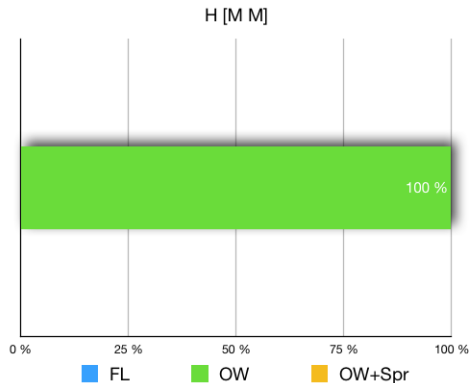
	$H_{0.4}$	$\begin{bmatrix} L_1 & L_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	$H$	Probability
a.	$H_{0.4}$	$\begin{bmatrix} L_1 & L_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	-28,4	0,4406
b.	$H_{0.4}$	$\begin{bmatrix} L_1 & L_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	-28,16	0,5594
c.	$H_{0.4}$	$\begin{bmatrix} L_1 & L_1 \\ \sigma_1 & \sigma_1 \end{bmatrix}$	-34,5	3,29E-13



# MOL: Fully active $H_1$ is realized: Maxent probabilities

(38)

	$H_1$	$M_1$	$M_1$	$H$	Probability
	$\left[ \begin{array}{c} H_1 \\ \sigma_1 \end{array} \right]$	$\left[ \begin{array}{c} M_1 \\ \sigma_1 \end{array} \right]$	$\left[ \begin{array}{c} M_1 \\ \sigma_1 \end{array} \right]$		
a.	$H_1$	$M_1$	$M_1$	-71,0	4,20E-21
b.	$H_1$	$M_1$	$M_1$	-24,08	0,9999
c.	$H_1$	$\sigma_1$	$\sigma_1$	-48,16	3,49E-11



## GSR Prediction: Implicational Relations, Toy Example

- Given a Lg where unexceptional S never undergoes process P<sub>1</sub> or P<sub>2</sub> to avoid the markedness violations M<sub>1</sub> or M<sub>2</sub> respectively:  
 (and only gradient faithfulness is relevant, not gradient markedness)

(39)

	P <sub>1</sub>	P <sub>2</sub>		
S <sub>1</sub>	✗	✗	Faith ≫ M <sub>1</sub>	Faith ≫ M <sub>2</sub>
S <sub>0.6</sub>	✓	✗	M <sub>1</sub> ≫ 0.6×Faith	0.6×Faith ≫ M <sub>2</sub>
S <sub>0.4</sub>	✓	✓	M <sub>1</sub> ≫ 0.4×Faith	M <sub>2</sub> ≫ 0.4×Faith
* S <sub>???</sub>	✗	✓	???	???

???